



QUARTERLY STATEMENT

AS OF SEPTEMBER 30, 2022
OF THE CONDITION AND AFFAIRS OF THE

Upper Peninsula Health Plan, LLC

NAIC Group Code	04734	(Current Period)	04734	(Prior Period)	NAIC Company Code	52615	Employer's ID Number	46-0927995
Organized under the Laws of	Michigan				State of Domicile or Port of Entry	Michigan		
Country of Domicile	United States							
Licensed as business type:	Life, Accident & Health []			Property/Casualty []		Hospital, Medical & Dental Service or Indemnity []		
	Dental Service Corporation []			Vision Service Corporation []		Health Maintenance Organization [X]		
	Other []					Is HMO Federally Qualified? Yes [] No [X]		
Incorporated/Organized	10/23/1997		Commenced Business		08/01/1998			
Statutory Home Office	853 W. Washington St.				Marquette, MI, US 49855			
	(Street and Number)				(City or Town, State, Country and Zip Code)			
Main Administrative Office	853 W. Washington St.		Marquette, MI, US 49855		906-225-7500			
	(Street and Number)		(City or Town, State, Country and Zip Code)		(Area Code) (Telephone Number)			
Mail Address	853 W. Washington St.		Marquette, MI, US 49855					
	(Street and Number or P.O. Box)		(City or Town, State, Country and Zip Code)					
Primary Location of Books and Records	853 W. Washington St.		Marquette, MI, US 49855		906-225-7500			
	(Street and Number)		(City or Town, State, Country and Zip Code)		(Area Code) (Telephone Number)			
Internet Web Site Address	uphp.com							
Statutory Statement Contact	Jaime Susan Houghton				906-225-7491			
	(Name)				(Area Code) (Telephone Number) (Extension)			
	jhoughton@uphp.com				906-225-8687			
	(E-Mail Address)				(FAX Number)			

OFFICERS

Name	Title	Name	Title
Melissa Ann Holmquist	President	Jaime Susan Houghton	Chief Financial Officer
Melanie Lyn Bicigo	Chief Operating Officer	Johanna Marie Novak	Secretary

OTHER OFFICERS

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DIRECTORS OR TRUSTEES

Michelle Marie Tavernier	Kevin Russell Kalchik #	Charles Edward Nelson	Robert Conrad Deese
Robert Thomas Crumb	Robert Vincent Vairo	Hunter Kyle Nostrant	Garfield Harold Atchison

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State ofMichigan.....

County ofMarquette.....

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The officers of this reporting entity being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC *Annual Statement Instructions and Accounting Practices and Procedures* manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

Melissa Ann Holmquist President	Jaime Susan Houghton Chief Financial Officer	Melanie Lyn Bicigo Chief Operating Officer
Subscribed and sworn to before me this 7th day of November, 2022		a. Is this an original filing? Yes [X] No []
		b. If no:
		1. State the amendment number
		2. Date filed
		3. Number of pages attached

Maureen O'Meara, Notary Public
June 24, 2028

ASSETS

	Current Statement Date			4 December 31 Prior Year Net Admitted Assets
	1	2	3	
	Assets	Nonadmitted Assets	Net Admitted Assets (Cols. 1 - 2)	
1. Bonds	40,309,380		40,309,380	21,015,610
2. Stocks:				
2.1 Preferred stocks			0	0
2.2 Common stocks			0	0
3. Mortgage loans on real estate:				
3.1 First liens			0	0
3.2 Other than first liens			0	0
4. Real estate:				
4.1 Properties occupied by the company (less \$ encumbrances)	10,418,728		10,418,728	10,601,751
4.2 Properties held for the production of income (less \$ encumbrances)			0	0
4.3 Properties held for sale (less \$ encumbrances)			0	0
5. Cash (\$38,867,926), cash equivalents (\$16,866,466) and short-term investments (\$6,993,244)	62,727,636		62,727,636	74,187,033
6. Contract loans (including \$ premium notes)			0	0
7. Derivatives	0		0	0
8. Other invested assets	0		0	0
9. Receivables for securities	347		347	11,809
10. Securities lending reinvested collateral assets			0	0
11. Aggregate write-ins for invested assets	0	0	0	0
12. Subtotals, cash and invested assets (Lines 1 to 11)	113,456,091	0	113,456,091	105,816,203
13. Title plants less \$ charged off (for Title insurers only)			0	0
14. Investment income due and accrued	290,378		290,378	228,566
15. Premiums and considerations:				
15.1 Uncollected premiums and agents' balances in the course of collection	3,069,645		3,069,645	3,690,024
15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due (including \$ earned but unbilled premiums)			0	0
15.3 Accrued retrospective premiums (\$845,221) and contracts subject to redetermination (\$)	845,221		845,221	1,343,509
16. Reinsurance:				
16.1 Amounts recoverable from reinsurers			0	0
16.2 Funds held by or deposited with reinsured companies			0	0
16.3 Other amounts receivable under reinsurance contracts			0	0
17. Amounts receivable relating to uninsured plans	14,054,676		14,054,676	14,389,186
18.1 Current federal and foreign income tax recoverable and interest thereon			0	0
18.2 Net deferred tax asset			0	0
19. Guaranty funds receivable or on deposit			0	0
20. Electronic data processing equipment and software	343,767	3,131	340,636	304,581
21. Furniture and equipment, including health care delivery assets (\$)	121,083	121,083	0	0
22. Net adjustment in assets and liabilities due to foreign exchange rates			0	0
23. Receivables from parent, subsidiaries and affiliates	13,054		13,054	4,596
24. Health care (\$) and other amounts receivable	5,408,770	5,408,770	0	0
25. Aggregate write-ins for other-than-invested assets	8,542,266	1,240,409	7,301,857	8,066,277
26. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 to 25)	146,144,951	6,773,393	139,371,558	133,842,942
27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts			0	0
28. Total (Lines 26 and 27)	146,144,951	6,773,393	139,371,558	133,842,942
DETAILS OF WRITE-INS				
1101.			0	0
1102.			0	0
1103.			0	0
1198. Summary of remaining write-ins for Line 11 from overflow page	0	0	0	0
1199. Totals (Lines 1101 through 1103 plus 1198) (Line 11 above)	0	0	0	0
2501. Prepays	1,195,396	1,195,396	0	0
2502. Vehicles	45,013	45,013	0	0
2503. IPA Tax receivable	7,193,073		7,193,073	8,066,277
2598. Summary of remaining write-ins for Line 25 from overflow page	108,784	0	108,784	0
2599. Totals (Lines 2501 through 2503 plus 2598) (Line 25 above)	8,542,266	1,240,409	7,301,857	8,066,277

LIABILITIES, CAPITAL AND SURPLUS

	Current Period			Prior Year
	1 Covered	2 Uncovered	3 Total	4 Total
1. Claims unpaid (less \$ reinsurance ceded).....	34,028,366		34,028,366	32,506,997
2. Accrued medical incentive pool and bonus amounts	5,638,768		5,638,768	1,524,292
3. Unpaid claims adjustment expenses	325,748		325,748	294,713
4. Aggregate health policy reserves including the liability of \$ for medical loss ratio rebate per the Public Health Service Act.....	3,078,324		3,078,324	12,575,814
5. Aggregate life policy reserves			0	0
6. Property/casualty unearned premium reserve			0	0
7. Aggregate health claim reserves			0	0
8. Premiums received in advance	7,417,272		7,417,272	5,132
9. General expenses due or accrued	8,763,271		8,763,271	10,179,124
10.1 Current federal and foreign income tax payable and interest thereon (including \$ on realized gains (losses))			0	0
10.2 Net deferred tax liability.....			0	0
11. Ceded reinsurance premiums payable			0	0
12. Amounts withheld or retained for the account of others	1,246,147		1,246,147	1,295,302
13. Remittances and items not allocated			0	0
14. Borrowed money (including \$ current) and interest thereon \$ (including \$ current)			0	0
15. Amounts due to parent, subsidiaries and affiliates	2,207,168		2,207,168	1,182,100
16. Derivatives.....			0	0
17. Payable for securities			0	0
18. Payable for securities lending			0	0
19. Funds held under reinsurance treaties (with \$ authorized reinsurers, \$ unauthorized reinsurers and \$ certified reinsurers)			0	0
20. Reinsurance in unauthorized and certified (\$) companies			0	0
21. Net adjustments in assets and liabilities due to foreign exchange rates			0	0
22. Liability for amounts held under uninsured plans	13,539,188		13,539,188	14,532,395
23. Aggregate write-ins for other liabilities (including \$ current)	0	0	0	0
24. Total liabilities (Lines 1 to 23).....	76,244,252	0	76,244,252	74,095,869
25. Aggregate write-ins for special surplus funds	XXX	XXX	0	0
26. Common capital stock	XXX	XXX		0
27. Preferred capital stock	XXX	XXX		0
28. Gross paid in and contributed surplus	XXX	XXX	3,427,727	3,427,727
29. Surplus notes	XXX	XXX		0
30. Aggregate write-ins for other-than-special surplus funds	XXX	XXX	0	0
31. Unassigned funds (surplus)	XXX	XXX	59,699,578	56,319,346
32. Less treasury stock, at cost:				
32.1 shares common (value included in Line 26 \$)	XXX	XXX		0
32.2 shares preferred (value included in Line 27 \$)	XXX	XXX		0
33. Total capital and surplus (Lines 25 to 31 minus Line 32)	XXX	XXX	63,127,305	59,747,073
34. Total liabilities, capital and surplus (Lines 24 and 33)	XXX	XXX	139,371,557	133,842,942
DETAILS OF WRITE-INS				
2301.			0	0
2302.			0	0
2303.			0	0
2398. Summary of remaining write-ins for Line 23 from overflow page	0	0	0	0
2399. Totals (Lines 2301 through 2303 plus 2398) (Line 23 above)	0	0	0	0
2501.	XXX	XXX		0
2502.	XXX	XXX		0
2503.	XXX	XXX		0
2598. Summary of remaining write-ins for Line 25 from overflow page	XXX	XXX	0	0
2599. Totals (Lines 2501 through 2503 plus 2598) (Line 25 above)	XXX	XXX	0	0
3001.	XXX	XXX		0
3002.	XXX	XXX		0
3003.	XXX	XXX		0
3098. Summary of remaining write-ins for Line 30 from overflow page	XXX	XXX	0	0
3099. Totals (Lines 3001 through 3003 plus 3098) (Line 30 above)	XXX	XXX	0	0

STATEMENT OF REVENUE AND EXPENSES

	Current Year To Date		Prior Year To Date	Prior Year Ended December 31
	1 Uncovered	2 Total	3 Total	4 Total
1. Member Months.....	XXX	522,356	500,311	670,810
2. Net premium income (including \$ non-health premium income).....	XXX	249,947,569	235,770,113	320,558,361
3. Change in unearned premium reserves and reserve for rate credits	XXX		0	0
4. Fee-for-service (net of \$ medical expenses)	XXX		0	0
5. Risk revenue	XXX		0	0
6. Aggregate write-ins for other health care related revenues	XXX	22,465,225	23,880,979	31,950,376
7. Aggregate write-ins for other non-health revenues	XXX	97,262	(1,271)	102,394
8. Total revenues (Lines 2 to 7)	XXX	272,510,056	259,649,821	352,611,131
Hospital and Medical:				
9. Hospital/medical benefits		113,005,382	109,795,668	146,742,517
10. Other professional services		21,687,266	19,817,932	26,816,233
11. Outside referrals		14,048,221	15,414,334	20,130,055
12. Emergency room and out-of-area		21,428,481	21,311,863	29,385,465
13. Prescription drugs		46,397,581	37,798,157	51,586,277
14. Aggregate write-ins for other hospital and medical.....	0	208,942	200,124	268,324
15. Incentive pool, withhold adjustments and bonus amounts.....		4,495,980	7,253,401	8,600,487
16. Subtotal (Lines 9 to 15)	0	221,271,853	211,591,479	283,529,358
Less:				
17. Net reinsurance recoveries			0	0
18. Total hospital and medical (Lines 16 minus 17)	0	221,271,853	211,591,479	283,529,358
19. Non-health claims (net).....			0	0
20. Claims adjustment expenses, including \$ 3,203,685 cost containment expenses.....		5,347,944	4,968,237	6,490,477
21. General administrative expenses.....		32,981,341	34,083,464	45,469,204
22. Increase in reserves for life and accident and health contracts (including \$ increase in reserves for life only).....			0	0
23. Total underwriting deductions (Lines 18 through 22)	0	259,601,138	250,643,180	335,489,039
24. Net underwriting gain or (loss) (Lines 8 minus 23)	XXX	12,908,918	9,006,641	17,122,092
25. Net investment income earned		1,108,705	563,467	790,265
26. Net realized capital gains (losses) less capital gains tax of \$.....		175	611	611
27. Net investment gains (losses) (Lines 25 plus 26)	0	1,108,880	564,078	790,876
28. Net gain or (loss) from agents' or premium balances charged off [(amount recovered \$) (amount charged off \$)]			0	0
29. Aggregate write-ins for other income or expenses	0	0	0	0
30. Net income or (loss) after capital gains tax and before all other federal income taxes (Lines 24 plus 27 plus 28 plus 29)	XXX	14,017,798	9,570,719	17,912,968
31. Federal and foreign income taxes incurred	XXX		0	0
32. Net income (loss) (Lines 30 minus 31)	XXX	14,017,798	9,570,719	17,912,968
DETAILS OF WRITE-INS				
0601. Miscellaneous Revenues.....	XXX	12,802	6,181	9,301
0602. IPA Tax Revenue.....	XXX	22,452,423	23,874,798	31,941,075
0603.	XXX		0	0
0698. Summary of remaining write-ins for Line 6 from overflow page	XXX	0	0	0
0699. Totals (Lines 0601 through 0603 plus 0698) (Line 6 above)	XXX	22,465,225	23,880,979	31,950,376
0701. Gain/Loss on Asset Disposal.....	XXX	(2,738)	(1,271)	(1,272)
0702. Tiff Revenue.....	XXX	100,000	0	103,666
0703.	XXX		0	0
0798. Summary of remaining write-ins for Line 7 from overflow page	XXX	0	0	0
0799. Totals (Lines 0701 through 0703 plus 0798) (Line 7 above)	XXX	97,262	(1,271)	102,394
1401. UPHIE Fees.....		208,942	200,124	268,324
1402.			0	0
1403.			0	0
1498. Summary of remaining write-ins for Line 14 from overflow page	0	0	0	0
1499. Totals (Lines 1401 through 1403 plus 1498) (Line 14 above)	0	208,942	200,124	268,324
2901.			0	0
2902.			0	0
2903.			0	0
2998. Summary of remaining write-ins for Line 29 from overflow page	0	0	0	0
2999. Totals (Lines 2901 through 2903 plus 2998) (Line 29 above)	0	0	0	0

STATEMENT OF REVENUE AND EXPENSES (Continued)

	1	2	3
	Current Year To Date	Prior Year To Date	Prior Year Ended December 31
CAPITAL & SURPLUS ACCOUNT			
33. Capital and surplus prior reporting year.....	59,747,073	66,942,383	66,942,383
34. Net income or (loss) from Line 32	14,017,798	9,570,719	17,912,968
35. Change in valuation basis of aggregate policy and claim reserves		0	0
36. Change in net unrealized capital gains (losses) less capital gains tax of \$		0	0
37. Change in net unrealized foreign exchange capital gain or (loss)		0	0
38. Change in net deferred income tax		0	0
39. Change in nonadmitted assets	(637,565)	(1,215,633)	(1,308,278)
40. Change in unauthorized and certified reinsurance	0	0	0
41. Change in treasury stock	0	0	0
42. Change in surplus notes	0	0	0
43. Cumulative effect of changes in accounting principles		0	0
44. Capital Changes:			
44.1 Paid in		0	0
44.2 Transferred from surplus (Stock Dividend)		0	0
44.3 Transferred to surplus		0	0
45. Surplus adjustments:			
45.1 Paid in		0	0
45.2 Transferred to capital (Stock Dividend)	0	0	0
45.3 Transferred from capital		0	0
46. Dividends to stockholders		0	0
47. Aggregate write-ins for gains or (losses) in surplus	(10,000,000)	(23,800,000)	(23,800,000)
48. Net change in capital and surplus (Lines 34 to 47)	3,380,233	(15,444,914)	(7,195,310)
49. Capital and surplus end of reporting period (Line 33 plus 48)	63,127,306	51,497,469	59,747,073
DETAILS OF WRITE-INS			
4701. Distribution of Equity.....	(10,000,000)	(23,800,000)	(23,800,000)
4702.		0	0
4703.		0	0
4798. Summary of remaining write-ins for Line 47 from overflow page	0	0	0
4799. Totals (Lines 4701 through 4703 plus 4798) (Line 47 above)	(10,000,000)	(23,800,000)	(23,800,000)

CASH FLOW

	1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
Cash from Operations			
1. Premiums collected net of reinsurance.....	248,980,886	239,565,676	323,461,332
2. Net investment income	1,127,561	754,450	1,320,794
3. Miscellaneous income	22,562,487	23,879,708	32,052,770
4. Total (Lines 1 to 3)	272,670,934	264,199,834	356,834,896
5. Benefit and loss related payments	215,777,160	202,590,234	281,278,653
6. Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts	0	0	0
7. Commissions, expenses paid and aggregate write-ins for deductions	40,372,800	36,997,947	50,788,981
8. Dividends paid to policyholders	0	0	0
9. Federal and foreign income taxes paid (recovered) net of \$ tax on capital gains (losses).....	0	0	0
10. Total (Lines 5 through 9)	256,149,960	239,588,181	332,067,634
11. Net cash from operations (Line 4 minus Line 10)	16,520,974	24,611,653	24,767,262
Cash from Investments			
12. Proceeds from investments sold, matured or repaid:			
12.1 Bonds	13,789,460	10,068,000	10,818,000
12.2 Stocks	0	0	0
12.3 Mortgage loans	0	0	0
12.4 Real estate	0	0	0
12.5 Other invested assets	0	0	0
12.6 Net gains or (losses) on cash, cash equivalents and short-term investments	21,175	4,051	4,333
12.7 Miscellaneous proceeds	194,485	298,306	5,560
12.8 Total investment proceeds (Lines 12.1 to 12.7)	14,005,120	10,370,357	10,827,893
13. Cost of investments acquired (long-term only):			
13.1 Bonds	33,163,727	14,000,130	15,000,130
13.2 Stocks	0	0	0
13.3 Mortgage loans	0	0	0
13.4 Real estate	0	0	0
13.5 Other invested assets	0	0	0
13.6 Miscellaneous applications	21,175	4,051	4,332
13.7 Total investments acquired (Lines 13.1 to 13.6)	33,184,902	14,004,181	15,004,462
14. Net increase (or decrease) in contract loans and premium notes	0	0	0
15. Net cash from investments (Line 12.8 minus Line 13.7 and Line 14)	(19,179,782)	(3,633,824)	(4,176,569)
Cash from Financing and Miscellaneous Sources			
16. Cash provided (applied):			
16.1 Surplus notes, capital notes	0	0	0
16.2 Capital and paid in surplus, less treasury stock.....	0	0	0
16.3 Borrowed funds	0	0	0
16.4 Net deposits on deposit-type contracts and other insurance liabilities	0	0	0
16.5 Dividends to stockholders	0	0	0
16.6 Other cash provided (applied).....	(8,800,589)	(24,857,628)	(24,762,878)
17. Net cash from financing and miscellaneous sources (Line 16.1 through Line 16.4 minus Line 16.5 plus Line 16.6).....	(8,800,589)	(24,857,628)	(24,762,878)
RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS			
18. Net change in cash, cash equivalents and short-term investments (Line 11, plus Lines 15 and 17)	(11,459,397)	(3,879,799)	(4,172,185)
19. Cash, cash equivalents and short-term investments:			
19.1 Beginning of year.....	74,187,033	78,359,218	78,359,218
19.2 End of period (Line 18 plus Line 19.1)	62,727,636	74,479,419	74,187,033

STATEMENT AS OF SEPTEMBER 30, 2022 OF THE Upper Peninsula Health Plan, LLC

EXHIBIT OF PREMIUMS, ENROLLMENT AND UTILIZATION

	1	Comprehensive (Hospital & Medical)		4	5	6	7	8	9	10
		2	3							
	Total	Individual	Group	Medicare Supplement	Vision Only	Dental Only	Federal Employees Health Benefits Plan	Title XVIII Medicare	Title XIX Medicaid	Other
Total Members at end of:										
1. Prior Year	56,983	.0	.0	.0	.0	.0	.0	5,248	51,735	.0
2. First Quarter	57,791	.0	.0	.0	.0	.0	.0	5,009	52,782	.0
3. Second Quarter	58,271	.0	.0	.0	.0	.0	.0	5,091	53,180	.0
4. Third Quarter	58,924	.0	.0	.0	.0	.0	.0	5,387	53,537	.0
5. Current Year	0									
6. Current Year Member Months	522,356							46,279	476,077	
Total Member Ambulatory Encounters for Period:										
7. Physician	243,594							36,634	206,960	
8. Non-Physician	233,178							44,535	188,643	
9. Total	476,772	0	0	0	0	0	0	81,169	395,603	0
10. Hospital Patient Days Incurred	10,127							3,240	6,887	
11. Number of Inpatient Admissions	2,557							615	1,942	
12. Health Premiums Written (a).....	250,966,163							93,029,738	157,936,425	
13. Life Premiums Direct.....	.0									
14. Property/Casualty Premiums Written0									
15. Health Premiums Earned	250,966,163							93,029,738	157,936,425	
16. Property/Casualty Premiums Earned0									
17. Amount Paid for Provision of Health Care Services	215,777,162							84,684,537	131,092,625	
18. Amount Incurred for Provision of Health Care Services	221,271,853							85,600,313	135,671,540	

(a) For health premiums written: amount of Medicare Title XVIII exempt from state taxes or fees \$ 53,076,229

CLAIMS UNPAID AND INCENTIVE POOL, WITHHOLD AND BONUS (Reported and Unreported)

[illegible]

UNDERWRITING AND INVESTMENT EXHIBIT
ANALYSIS OF CLAIMS UNPAID-PRIOR YEAR-NET OF REINSURANCE

Line of Business	Claims Paid Year to Date		Liability End of Current Quarter		5 Claims Incurred in Prior Years (Columns 1 + 3)	6 Estimated Claim Reserve and Claim Liability Dec. 31 of Prior Year
	1 On Claims Incurred Prior to January 1 of Current Year	2 On Claims Incurred During the Year	3 On Claims Unpaid Dec. 31 of Prior Year	4 On Claims Incurred During the Year		
1. Comprehensive (hospital and medical)					0	0
2. Medicare Supplement					0	0
3. Dental only					0	0
4. Vision only					0	0
5. Federal Employees Health Benefits Plan					0	0
6. Title XVIII - Medicare	12,850,135	71,834,404	4,300	15,595,413	12,854,435	14,582,838
7. Title XIX - Medicaid	16,925,393	113,785,728	(124,274)	18,552,926	16,801,119	17,924,160
8. Other health					0	0
9. Health subtotal (Lines 1 to 8).....	29,775,528	185,620,132	(119,974)	34,148,339	29,655,554	32,506,998
10. Health care receivables (a)			0	5,408,770	0	5,267,616
11. Other non-health					0	0
12. Medical incentive pools and bonus amounts	381,504		1,350,000	4,288,768	1,731,504	1,524,292
13. Totals (Lines 9-10+11+12)	30,157,032	185,620,132	1,230,026	33,028,337	31,387,058	28,763,674

(a) Excludes \$ loans or advances to providers not yet expensed.

STATEMENT AS OF SEPTEMBER 30, 2022 OF THE Upper Peninsula Health Plan, LLC

NOTES TO FINANCIAL STATEMENTS

1.

Summary of Significant Accounting Policies and Going Concern

The accompanying statutory financial statements of Upper Peninsula Health Plan, LLC (the “Company”) have been prepared in conformity with accounting practices prescribed or permitted by the Michigan Department of Insurance and Financial Services (“DIFS”). DIFS recognizes only statutory accounting practices prescribed or permitted by the State of Michigan for determining and reporting the financial condition and results of operations of an insurance company, which include accounting practices and procedures adopted by the National Association of Insurance Commissioners’ (“NAIC”) Accounting Practices and Procedures Manual (“NAIC SAP”).

A reconciliation of the Company’s net income and capital and surplus between NAIC SAP and practices prescribed and permitted by the State of Michigan is shown below:
- A.

Accounting Practices

	SSAP #	F/S Page	F/S Line #	2022	2021
NET INCOME					
(1) Company state basis (Page 4, Line 32, Columns 2 & 4)	XXX	XXX	XXX	\$ 14,017,798	\$ 17,912,968
(2) State Prescribed Practices that are an increase/(decrease) from NAIC SAP:				.	.
(3) State Permitted Practices that are an increase/(decrease) from NAIC SAP:					
(4) NAIC SAP (1-2-3=4)	XXX	XXX	XXX	\$ 14,017,798	\$ 17,912,968
SURPLUS					
(5) Company state basis (Page 3, Line 33, Columns 3 & 4)	XXX	XXX	XXX	\$ 63,127,305	\$ 59,747,073
(6) State Prescribed Practices that are an increase/(decrease) from NAIC SAP:				.	.
(7) State Permitted Practices that are an increase/(decrease) from NAIC SAP:					
(8) NAIC SAP (5-6-7=8)	XXX	XXX	XXX	\$ 63,127,305	\$ 59,747,073

B.

Use of Estimates in the Preparation of the Financial Statements

No material change.

C.

Accounting Policy

(1)

Short-term Investments - No Material Change.

(2)

Bonds, Mandatory Convertible Securities & SVO-Identified investments – The Company does not have any mandatory convertible securities or SVO identified investments.

(3)

Common Stocks - None

(4)

Preferred Stocks - None

(5)

Mortgage loans on real estate - None

(6)

Loan-backed securities – None

(7)

Investments in subsidiaries, controlled or affiliated companies - None

(8)

Investments in joint ventures, partnerships, and limited liability companies - None

(9)

Derivatives - None

(10)

Investment income in premium deficiency calculation - No Material Change.

(11)

Claims Unpaid- No Material Change.

(12)

Fixed Asset Capitalization - No Material Change.

(13)

Pharmaceutical Rebate Receivables - No Material Change.

D.

Going Concern

There are no conditions or events that would prevent the Company to continue as a going concern.
2.

Accounting Changes and Corrections of Errors
- No Material Change
3.

Business Combinations and Goodwill
- No Material Change
4.

Discontinued Operations
- No Material Change
5.

Investments
- A.

Mortgage Loans, including Mezzanine Real Estate Loans

No Material Change
- B.

Debt Restructuring

No Material Change
- C.

Reverse Mortgages

No Material Change
- D.

Loan-Backed Securities

None
- E.

Dollar Repurchase Agreements and/or Securities Lending Transactions

None
- F.

Repurchase Agreements Transactions Accounted for as Secured Borrowing

None
- G.

Reverse Repurchase Agreements Transactions Accounted for as Secured Borrowing

None
- H.

Repurchase Agreements Transactions Accounted for as a Sale

None
- I.

Reverse Repurchase Agreements Transactions Accounted for as a Sale

None
- J.

Real Estate

No Material Change
- K.

Low-Income Housing Tax Credits (LIHTC)

No Material Change

STATEMENT AS OF SEPTEMBER 30, 2022 OF THE Upper Peninsula Health Plan, LLC

L.	Restricted Assets
	No Material Change
M.	Working Capital Finance Investments
	None
N.	Offsetting and Netting of Assets and Liabilities
	None
O.	5GI Securities
	No Material Change
P.	Short Sales
	No Material Change
Q.	Prepayment Penalty and Acceleration Fees
	No Material Change
R.	Reporting Entity’s Share of Cash Pool by Asset type.
	None
6.	Joint Ventures, Partnerships and Limited Liability Companies
	No Material Change
7.	Investment Income
	No Material Change
8.	Derivative Instruments
A.	Derivatives under SSAP No. 86 – <i>Derivatives</i>
	None
B.	Derivatives under SSAP No. 108 – <i>Derivatives Hedging Variable Annuity Guarantees</i>
	None
9.	Income Taxes
	No Material Change
10.	Information Concerning Parent, Subsidiaries, Affiliates and Other Related Parties
	No Material Change
11.	Debt
	None
12.	Retirement Plans, Deferred Compensation, Postemployment Benefits and Compensated Absences and Other Postretirement Benefit Plans
	No Material Change
A.	Defined Benefit Plan
	None
B.	Investment Policies and Strategies
	No Material Change.
C.	Fair Value of Plan Assets
	No Material Change.
D.	Basis Used to Determine Expected Long-Term Rate-of-Return
	No Material Change.
E.	Defined Contribution Plans
	No Material Change.
F.	Multiemployer Plans
	No Material Change.
G.	Consolidated/Holding Company Plans
	No Material Change.
H.	Postemployment Benefits and Compensated Absences
	No Material Change.
I.	Impact of Medicare Modernization Act on Postretirement Benefits (INT 04-17)
	No Material Change.
13.	Capital and Surplus, Dividend Restrictions and Quasi-Reorganizations
	No Material Change
14.	Liabilities, Contingencies and Assessments
	No Material Change
15.	Leases
	No Material Change
16.	Information About Financial Instruments With Off-Balance-Sheet Risk And Financial Instruments With Concentrations of Credit Risk
	No Material Change
17.	Sale, Transfer and Servicing of Financial Assets and Extinguishments of Liabilities
	Not Applicable
B.	Transfer and Servicing of Financial Assets
	None.
C.	Wash Sales
	None.
18.	Gain or Loss to the Reporting Entity from Uninsured Plans and the Uninsured Portion of Partially Insured Plans
A.	ASO Plans
	The gain from operations from Administrative Services Only (ASO) uninsured plans and the uninsured portion of partially insured plans was as follows during 2022 :

STATEMENT AS OF SEPTEMBER 30, 2022 OF THE Upper Peninsula Health Plan, LLC

		ASO Uninsured Plans		Uninsured Portion of Partially Insured Plans		Total ASO		
a.	Net reimbursement for administrative expenses (including administrative fees) in excess of actual expenses	\$	32,363	\$		\$	32,363	
b.	Total net other income or expenses (including interest paid to or received from plans)	\$		\$		\$	0	
c.	Net gain or (loss) from operations	\$	32,363	\$	0	\$	32,363	
d.	Total claim payment volume	\$	37,505,988	\$		\$	37,505,988	
B.	ASC Plans							
	No Material Change							
C.	Medicare or Other Similarly Structured Cost Based Reimbursement Contract:							
	No Material Change							
19.	Direct Premium Written/Produced by Managing General Agents/Third Party Administrators							
	No Material Change							
20.	Fair Value Measurements							
	In general, the Level 1 fair values are established from quoted (unadjusted) market prices in active markets for identical assets and liabilities that the Company has the ability to access.							
A.	Assets and Liabilities Measured at Fair Value							
	(1) Fair Value Measurements at Reporting Date							
		Description for each class of asset or liability	(Level 1)	(Level 2)	(Level 3)	Net Asset Value (NAV)	Total	
a.	Assets at fair value							
	Cash Equivalents	\$	16,866,466	\$		\$	16,866,466	
	Total assets at fair value/NAV	\$	16,866,466	\$	0	\$	16,866,466	
	(2) Fair Value Measurements in Level 3 - NONE							
	(3) The Company's policy for determining transfers between levels are recognized and determined at the end of the reporting period.							
	(4) As of September 30, 2022, the reported fair value of the reporting entity's investments in Level 3, NAIC designated 6, residential mortgage-backed securities was \$0.							
	(5) Derivative assets and liabilities - None							
B.	Other Fair Value Disclosures							
	Fair value information disclosed under SSAP No. 100 combined with fair value information under other accounting pronouncements – NONE							
C.	Fair Value of Financial Instruments							
	Type of Financial Instrument	Aggregate Fair Value	Admitted Assets	(Level 1)	(Level 2)	(Level 3)	Net Asset Value (NAV)	Not Practicable (Carrying Value)
	Bonds	\$ 39,384,509	\$ 40,309,380	\$ 39,384,509	\$	\$	\$	\$
	Short Term Investments	\$ 6,958,240	\$ 6,993,244	\$ 6,958,240	\$	\$	\$	\$
	Cash Equivalents	\$ 16,866,466	\$ 16,866,466	\$ 16,866,466	\$	\$	\$	\$
D.	Not Practicable to Estimate Fair Value							
	Not Practicable to estimate fair value - None							
E.	Investments Measured using the NAV as Practical Expedient							
	The Company does not have any investments measured using the NAV practical expedient pursuant to SSAP No. 100R.							
21.	Other Items							
	No Material Change							
22.	Events Subsequent							
	No Material Change							
23.	Reinsurance							
	No Material Change							
24.	Retrospectively Rated Contracts & Contracts Subject to Redetermination							
A.	Method Used to Estimate Accrued Retrospective Premium Adjustments							
	No Material Change.							
B.	Accrued Retrospective Premiums Recorded Through Written Premium or as an Adjustment to Earned Premium							
	No Material Change.							
C.	Amount of Net Premiums Written Subject to Retrospective Rating Features							
	No Material Change.							
D.	Medical loss ratio rebates required pursuant to the Public Health Service Act							
	No Material Change.							
E.	Risk-Sharing Provisions of the Affordable Care Act (ACA)							
	The Company is not subject to the ACA.							
25.	Changes in Incurred Claims and Claim Adjustment Expenses							
A.	Reasons for changes in the Provision for Incurred Loss and Loss Adjustment Expenses							
	Reserves as of December 31, 2021 were \$32,506,998 for unpaid claims. As of September 30, 2022, \$29,775,528 has been paid for incurred claims attributable to insured events of prior years. Claims expense reserves remaining for prior years are now (\$119,974) as a result of re-estimation of unpaid claims and claim adjustment expenses. Therefore, there has been a favorable prior year development of \$2,851,444 during 2022 for the year ended December 31, 2021. Original estimates are increased or decreased as additional information becomes known regarding individual claims.							
B.	Information about Significant Changes in Methodologies and Assumptions							
	Not Applicable							
26.	Intercompany Pooling Arrangements							
	No Material Change							
27.	Structured Settlements							
	Health Entities should not complete this Note.							
28.	Health Care Receivables							
A.	Pharmaceutical Rebate Receivables							
		Estimated Pharmacy Rebates as Reported on Financial Statements	Pharmacy Rebates as Billed or Otherwise Confirmed	Actual Rebates Received Within 90 Days of Billing	Actual Rebates Received Within 91 to 180 Days of Billing	Actual Rebates Received More Than 180 Days After Billing		
	Quarter							
	09/30/2022	\$ 5,408,770	\$ 0	\$ 0	\$ 0	\$ 0		

STATEMENT AS OF SEPTEMBER 30, 2022 OF THE Upper Peninsula Health Plan, LLC

Quarter	Estimated Pharmacy Rebates as Reported on Financial Statements	Pharmacy Rebates as Billed or Otherwise Confirmed	Actual Rebates Received Within 90 Days of Billing	Actual Rebates Received Within 91 to 180 Days of Billing	Actual Rebates Received More Than 180 Days After Billing
06/30/2022	\$ 5,266,560	\$ 0	\$ 0	\$ 0	\$ 1,945,898
03/31/2022	\$ 5,392,550	\$ 0	\$ 0	\$ 0	\$ 1,666,880
12/31/2021	\$ 5,267,618	\$ 0	\$ 0	\$ 0	\$ 5,336,642
09/30/2021	\$ 4,860,959	\$ 0	\$ 0	\$ 0	\$ 1,889,172
06/30/2021	\$ 5,408,677	\$ 0	\$ 0	\$ 0	\$ 1,914,151
03/31/2021	\$ 5,660,460	\$ 0	\$ 0	\$ 0	\$ 0
12/31/2020	\$ 3,782,502	\$ 0	\$ 0	\$ 0	\$ 1,951,750
09/30/2020	\$ 3,366,839	\$ 0	\$ 0	\$ 1,918,480	\$ 0
06/30/2020	\$ 3,191,491	\$ 0	\$ 0	\$ 1,575,655	\$ 2,291,249
03/31/2020	\$ 5,732,981	\$ 0	\$ 0	\$ 0	\$ 0
12/31/2019	\$ 3,494,806	\$ 0	\$ 0	\$ 1,598,999	\$ 1,721,723
09/30/2019	\$ 4,515,114	\$ 0	\$ 0	\$ 0	\$ 0

29. Participating Policies

No Material Change

30. Premium Deficiency Reserves

1.

Liability carried for premium deficiency reserves

\$ 0
2.

Date of the most recent evaluation of this liability

09/30/2022
3.

Was anticipated investment income utilized in the calculation?

Yes [] No [X]

31. Anticipated Salvage and Subrogation

No Material Change

GENERAL INTERROGATORIES

PART 1 - COMMON INTERROGATORIES

GENERAL

- 1.1

Did the reporting entity experience any material transactions requiring the filing of Disclosure of Material Transactions with the State of Domicile, as required by the Model Act?

Yes ☐ No ☒
- 1.2

If yes, has the report been filed with the domiciliary state?

Yes ☐ No ☐
- 2.1

Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity?

Yes ☐ No ☒
- 2.2

If yes, date of change:
- 3.1

Is the reporting entity a member of an Insurance Holding Company System consisting of two or more affiliated persons, one or more of which is an insurer?

Yes ☒ No ☐
- If yes, complete Schedule Y, Parts 1 and 1A.
- 3.2

Have there been any substantial changes in the organizational chart since the prior quarter end?

Yes ☐ No ☒
- 3.3

If the response to 3.2 is yes, provide a brief description of those changes.
- 3.4

Is the reporting entity publicly traded or a member of a publicly traded group?

Yes ☒ No ☐
- 3.5

If the response to 3.4 is yes, provide the CIK (Central Index Key) code issued by the SEC for the entity/group

0001411494
- 4.1

Has the reporting entity been a party to a merger or consolidation during the period covered by this statement?

Yes ☐ No ☒
- 4.2

If yes, provide the name of entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.

1	2	3
Name of Entity	NAIC Company Code	State of Domicile

5.

If the reporting entity is subject to a management agreement, including third-party administrator(s), managing general agent(s), attorney-in-fact, or similar agreement, have there been any significant changes regarding the terms of the agreement or principals involved?

Yes ☐ No ☒ NA ☐
- If yes, attach an explanation.
- 6.1

State as of what date the latest financial examination of the reporting entity was made or is being made.

12/31/2020
- 6.2

State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released.

12/31/2020
- 6.3

State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date).

02/24/2022
- 6.4

By what department or departments?

MICHIGAN DEPARTMENT OF INSURANCE AND FINANCIAL SERVICES
- 6.5

Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments?

Yes ☐ No ☐ NA ☒
- 6.6

Have all of the recommendations within the latest financial examination report been complied with?

Yes ☐ No ☐ NA ☒
- 7.1

Has this reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period?

Yes ☐ No ☒
- 7.2

If yes, give full information:
- 8.1

Is the company a subsidiary of a bank holding company regulated by the Federal Reserve Board?

Yes ☐ No ☒
- 8.2

If response to 8.1 is yes, please identify the name of the bank holding company.
- 8.3

Is the company affiliated with one or more banks, thrifts or securities firms?

Yes ☒ No ☐
- 8.4

If response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator.]

1	2	3	4	5	6
Affiliate Name	Location (City, State)	FRB	OCC	FDIC	SEC
Apollo Capital Management, L.P.	New York, NY	NO	NO	NO	YES
Apollo Credit Management, LLC	New York, NY	NO	NO	NO	YES
Apollo Global Real Estate Management, L.P.	New York, NY	NO	NO	NO	YES
Apollo Investment Management, L.P.	New York, NY	NO	NO	NO	YES
Apollo Management, L.P.	New York, NY	NO	NO	NO	YES
Apollo Global Securities, LLC	New York, NY	NO	NO	NO	YES
Apollo Senior Floating Rate Fund, Inc.	New York, NY	NO	NO	NO	YES
Apollo Tactical Income Fund, Inc.	New York, NY	NO	NO	NO	YES
Apollo Investment Corporation, Inc.	New York, NY	NO	NO	NO	YES
Apollo Commercial Real Estate Finance, Inc.	New York, NY	NO	NO	NO	YES
Athene Securities, LLC	West De Moines, IA	NO	NO	NO	YES
Aris Management, LLC	Wilmington, DE	NO	NO	NO	YES
Apollo Capital Credit Adviser, LLC	Wilmington, DE	NO	NO	NO	YES
Apollo Real Estate Fund Adviser, LLC	Wilmington, DE	NO	NO	NO	YES
Griffin Capital Securities, LLC	Wilmington, DE	NO	NO	NO	YES
Apollo Diversified Real Estate Fund	Wilmington, DE	NO	NO	NO	YES
Apollo Diversified Credit Fund	Wilmington, DE	NO	NO	NO	YES

- 9.1

Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards?

Yes ☒ No ☐
- (a)

Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;
- (b)

Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;
- (c)

Compliance with applicable governmental laws, rules and regulations;
- (d)

The prompt internal reporting of violations to an appropriate person or persons identified in the code; and
- (e)

Accountability for adherence to the code.

GENERAL INTERROGATORIES

9.11

If the response to 9.1 is No, please explain:

9.2

Has the code of ethics for senior managers been amended?

Yes ☐ No ☒

9.21

If the response to 9.2 is Yes, provide information related to amendment(s).

9.3

Have any provisions of the code of ethics been waived for any of the specified officers?

Yes ☐ No ☒

9.31

If the response to 9.3 is Yes, provide the nature of any waiver(s).

FINANCIAL

10.1

Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement?

Yes ☒ No ☐

10.2

If yes, indicate any amounts receivable from parent included in the Page 2 amount:

\$ 13,054

INVESTMENT

11.1

Were any of the stocks, bonds, or other assets of the reporting entity loaned, placed under option agreement, or otherwise made available for use by another person? (Exclude securities under securities lending agreements.)

Yes ☐ No ☒

11.2

If yes, give full and complete information relating thereto:

12.

Amount of real estate and mortgages held in other invested assets in Schedule BA:

\$ 0

13.

Amount of real estate and mortgages held in short-term investments:

\$ 0

14.1

Does the reporting entity have any investments in parent, subsidiaries and affiliates?

Yes ☐ No ☒

14.2

If yes, please complete the following:

	1	2
	Prior Year-End Book/Adjusted Carrying Value	Current Quarter Book/Adjusted Carrying Value
14.21 Bonds	\$ 0	\$
14.22 Preferred Stock	\$ 0	\$
14.23 Common Stock	\$ 0	\$
14.24 Short-Term Investments	\$ 0	\$
14.25 Mortgage Loans on Real Estate	\$	\$
14.26 All Other	\$	\$
14.27 Total Investment in Parent, Subsidiaries and Affiliates (Subtotal Lines 14.21 to 14.26)	\$ 0	\$ 0
14.28 Total Investment in Parent included in Lines 14.21 to 14.26 above	\$	\$

15.1

Has the reporting entity entered into any hedging transactions reported on Schedule DB?

Yes ☐ No ☒

15.2

If yes, has a comprehensive description of the hedging program been made available to the domiciliary state?

Yes ☐ No ☐ NA ☐

If no, attach a description with this statement.

16.

For the reporting entity's security lending program, state the amount of the following as of the current statement date:

16.1

Total fair value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2

\$ 0

16.2

Total book adjusted/carrying value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2

\$ 0

16.3

Total payable for securities lending reported on the liability page

\$ 0

17.

Excluding items in Schedule E – Part 3 – Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 1, III – General Examination Considerations, F. Outsourcing of Critical Functions, Custodial or Safekeeping Agreements of the NAIC *Financial Condition Examiners Handbook*?

Yes ☒ No ☐

17.1 For all agreements that comply with the requirements of the NAIC *Financial Condition Examiners Handbook*, complete the following:

1	2
Name of Custodian(s)	Custodian Address
WELLS FARGO INSTITUTIONAL TRUST SERVICES	666 Walnut Street, Des Moines, IA 50309
PRINCIPAL TRUST COMPANY	510 N. VALLEY MILLS DRIVE, SUITE 400, WACO, TX 76710-6075

17.2 For all agreements that do not comply with the requirements of the NAIC *Financial Condition Examiners Handbook*, provide the name, location and a complete explanation:

1	2	3
Name(s)	Location(s)	Complete Explanation(s)

17.3 Have there been any changes, including name changes, in the custodian(s) identified in 17.1 during the current quarter?

Yes ☐ No ☒

17.4 If yes, give full and complete information relating thereto:

1	2	3	4
Old Custodian	New Custodian	Date of Change	Reason

17.5 Investment management – Identify all investment advisors, investment managers, broker/dealers, including individuals that have the authority to make investment decisions on behalf of the reporting entity. For assets that are managed internally by employees of the reporting entity, note as such. [“...that have access to the investment accounts”; “...handle securities”]

1	2
Name of Firm or Individual	Affiliation
Jaime Houghton, CFO	I
Melissa Holmquist, CEO	I

17.5097 For those firms/individuals listed in the table for Question 17.5, do any firms/individuals unaffiliated with the reporting entity (i.e., designated with a “U”) manage more than 10% of the reporting entity's invested assets?

Yes ☐ No ☒

17.5098 For firms/individuals unaffiliated with the reporting entity (i.e., designated with a “U”) listed in the table for Question 17.5, does the total assets under management aggregate to more than 50% of the reporting entity's invested assets?

Yes ☐ No ☒

17.6 For those firms or individuals listed in the table for 17.5 with an affiliation code of “A” (affiliated) or “U” (unaffiliated), provide the information for the table below.

GENERAL INTERROGATORIES

1 Central Registration Depository Number	2 Name of Firm or Individual	3 Legal Entity Identifier (LEI)	4 Registered With	5 Investment Management Agreement (IMA) Filed

18.1 Have all the filing requirements of the *Purposes and Procedures Manual of the NAIC Investment Analysis Office* been followed? Yes ☒ No ☐

18.2 If no, list exceptions:
.....

19. By self-designating 5GI securities, the reporting entity is certifying the following elements for each self-designated 5GI security:
- Documentation necessary to permit a full credit analysis of the security does not exist or an NAIC CRP credit rating for an FE or
 - a. PL security is not available.
 - b. Issuer or obligor is current on all contracted interest and principal payments.
 - c. The insurer has an actual expectation of ultimate payment of all contracted interest and principal.

Has the reporting entity self-designated 5GI securities?..... Yes ☐ No ☒

20. By self-designating PLGI securities, the reporting entity is certifying the following elements of each self-designated PLGI security:
- a. The security was purchased prior to January 1, 2018.
 - b. The reporting entity is holding capital commensurate with the NAIC Designation reported for the security.
 - The NAIC Designation was derived from the credit rating assigned by an NAIC CRP in its legal capacity as a NRSRO which is
 - c. shown on a current private letter rating held by the insurer and available for examination by state insurance regulators.
 - d. The reporting entity is not permitted to share this credit rating of the PL security with the SVO.

Has the reporting entity self-designated PLGI securities?..... Yes ☐ No ☒

21. By assigning FE to a Schedule BA non-registered private fund, the reporting entity is certifying the following elements of each self-designated FE fund:
- a. The shares were purchased prior to January 1, 2019.
 - b. The reporting entity is holding capital commensurate with the NAIC Designation reported for the security.
 - c. The security had a public credit rating(s) with annual surveillance assigned by an NAIC CRP in its legal capacity as an NRSRO prior to January 1, 2019.
 - d. The fund only or predominantly holds bonds in its portfolio.
 - e. The current reported NAIC Designation was derived from the public credit rating(s) with annual surveillance assigned by an NAIC CRP in its legal capacity as an NRSRO.
 - f. The public credit rating(s) with annual surveillance assigned by an NAIC CRP has not lapsed.

Has the reporting entity assigned FE to Schedule BA non-registered private funds that complied with the above criteria?..... Yes ☐ No ☒

GENERAL INTERROGATORIES
PART 2 - HEALTH

1.

Operating Percentages:

1.1 A&H loss percent

89.8 %

1.2 A&H cost containment percent

1.3 %

1.3 A&H expense percent excluding cost containment expenses

14.1 %

2.1 Do you act as a custodian for health savings accounts?

Yes ☐ No ☒

2.2 If yes, please provide the amount of custodial funds held as of the reporting date

\$

2.3 Do you act as an administrator for health savings accounts?

Yes ☐ No ☒

2.4 If yes, please provide the balance of the funds administered as of the reporting date

\$

3.

Is the reporting entity licensed or chartered, registered, qualified, eligible or writing business in at least two states?

Yes ☐ No ☒

3.1 If no, does the reporting entity assume reinsurance business that covers risks residing in at least one state other than the state of domicile of the reporting entity?

Yes ☐ No ☒

STATEMENT AS OF SEPTEMBER 30, 2022 OF THE Upper Peninsula Health Plan, LLC

SCHEDULE S - CEDED REINSURANCE

Showing All New Reinsurance Treaties - Current Year to Date

[illegible]

SCHEDULE T - PREMIUMS AND OTHER CONSIDERATIONS

Current Year to Date - Allocated by States and Territories											
		1	Direct Business Only								
			2	3	4	5	6 Federal Employees Health Benefits Program Premiums	7 Life & Annuity Premiums & Other Considerations	8 Property/ Casualty Premiums	9 Total Columns 2 Through 8	10
States, Etc.		Active Status (a)	Accident & Health Premiums	Medicare Title XVIII	Medicaid Title XIX	CHIP Title XXI					Deposit-Type Contracts
1.	Alabama	AL	N							.0	
2.	Alaska	AK	N							.0	
3.	Arizona	AZ	N							.0	
4.	Arkansas	AR	N							.0	
5.	California	CA	N							.0	
6.	Colorado	CO	N							.0	
7.	Connecticut	CT	N							.0	
8.	Delaware	DE	N							.0	
9.	Dist. Columbia	DC	N							.0	
10.	Florida	FL	N							.0	
11.	Georgia	GA	N							.0	
12.	Hawaii	HI	N							.0	
13.	Idaho	ID	N							.0	
14.	Illinois	IL	N							.0	
15.	Indiana	IN	N							.0	
16.	Iowa	IA	N							.0	
17.	Kansas	KS	N							.0	
18.	Kentucky	KY	N							.0	
19.	Louisiana	LA	N							.0	
20.	Maine	ME	N							.0	
21.	Maryland	MD	N							.0	
22.	Massachusetts	MA	N							.0	
23.	Michigan	MI	L	93,029,738	157,936,425				250,966,163		
24.	Minnesota	MN	N							.0	
25.	Mississippi	MS	N							.0	
26.	Missouri	MO	N							.0	
27.	Montana	MT	N							.0	
28.	Nebraska	NE	N							.0	
29.	Nevada	NV	N							.0	
30.	New Hampshire	NH	N							.0	
31.	New Jersey	NJ	N							.0	
32.	New Mexico	NM	N							.0	
33.	New York	NY	N							.0	
34.	North Carolina	NC	N							.0	
35.	North Dakota	ND	N							.0	
36.	Ohio	OH	N							.0	
37.	Oklahoma	OK	N							.0	
38.	Oregon	OR	N							.0	
39.	Pennsylvania	PA	N							.0	
40.	Rhode Island	RI	N							.0	
41.	South Carolina	SC	N							.0	
42.	South Dakota	SD	N							.0	
43.	Tennessee	TN	N							.0	
44.	Texas	TX	N							.0	
45.	Utah	UT	N							.0	
46.	Vermont	VT	N							.0	
47.	Virginia	VA	N							.0	
48.	Washington	WA	N							.0	
49.	West Virginia	WV	N							.0	
50.	Wisconsin	WI	N							.0	
51.	Wyoming	WY	N							.0	
52.	American Samoa	AS	N							.0	
53.	Guam	GU	N							.0	
54.	Puerto Rico	PR	N							.0	
55.	U.S. Virgin Islands	VI	N							.0	
56.	Northern Mariana Islands	MP	N							.0	
57.	Canada	CAN	N							.0	
58.	Aggregate other alien	OT	.XXX	.0	.0	.0	.0	.0	.0	.0	.0
59.	Subtotal	.XXX	.0	93,029,738	157,936,425	.0	.0	.0	250,966,163	.0	
60.	Reporting entity contributions for Employee Benefit Plans	.XXX								.0	
61.	Total (Direct Business)	XXX	0	93,029,738	157,936,425	0	0	0	0	250,966,163	0
DETAILS OF WRITE-INS											
58001.		.XXX									
58002.		.XXX									
58003.		.XXX									
58998.	Summary of remaining write-ins for Line 58 from overflow page.	.XXX	.0	.0	.0	.0	.0	.0	.0	.0	.0
58999.	Totals (Lines 58001 through 58003 plus 58998) (Line 58 above)	XXX	0	0	0	0	0	0	0	0	0

(a) Active Status Counts

L – Licensed or Chartered – Licensed insurance carrier or domiciled RRG1

R – Registered – Non-domiciled RRGs0

E – Eligible – Reporting entities eligible or approved to write surplus lines in the state0

Q – Qualified – Qualified or accredited reinsurer0

N – None of the above – Not allowed to write business in the state56

SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 – ORGANIZATIONAL CHART

Marc Rowan, Joshua Harris, Leon Black
Apollo Global Management, Inc.
Athene AOG Holding I Ltd.
Athene AOG Holding II LLC
Athene Holding Ltd.
Athene USA Corporation
A-A Mortgage Holdco, LLC
A-A Mortgage Investor, LLC
A-A Onshore Fund, LLC
ARPH (Headquarters Building), LLC
Athene Employee Services LLC
Athene London Assignment Corporation
Athene Re Services, LLC
Athene Securities, LLC
Athene Assignment Corporation
Athene Noctua, LLC
Athene Risk Aggregator, LLC
ACM Trademarks, L.L.C.
Athene Annuity Re Ltd.
AARE Structured Holdings LLC
Athene Annuity & Life Assurance Company
Athene Annuity and Life Company
Athene Annuity & Life Assurance Company of New York
Athene Life Insurance Company of New York
Structured Annuity Reinsurance Company
Athene Re USA IV, Inc.
Centralife Annuities Service, Inc.
AAIA RML, LLC
P.L. Assigned Services, Inc.
AADE RML, LLC
Athene Life Re International Ltd.
Athene Life Re Ltd.
A-A Onshore Fund, LLC
ADIP (Athene) Carry Plan, L.P
Athene Annuity Re II Ltd.
Athene Asset L.P.
Athene Co-Invest Reinsurance Affiliate Holding Ltd.
Athene Co-Invest Reinsurance Affiliate 1A Ltd.
Athene Co-Invest Reinsurance Affiliate International Ltd.
Athene Co-Invest Reinsurance Affiliate LP
Athene Co-Invest Reinsurance Affiliate 1B Ltd.

The organizational chart shows the ultimate controlling persons of the reporting entity together with Apollo Global Management, Inc. (“AGM”), the Apollo Operating Group, subsidiaries of the Apollo Operating Group and the Apollo Funds. The Apollo Operating Group refers to (i) the limited partnerships through which the ultimate controlling persons currently operate Apollo’s business and (ii) one or more limited partnerships formed for the purposes of, among other activities, holding certain of Apollo’s gains or losses on its principal investments in the Apollo Funds. The Apollo Funds refers to the funds, alternative asset companies and other entities that are managed by the Apollo Operating Group. The organizational chart does not include subsidiaries or alternative investment vehicles of the Apollo Funds, which are included in Part 1A. Pursuant to a disclaimer of affiliation filed with the domiciliary insurance department of the reporting entity, the organizational chart also does not include any (i) personal investment entities of AGM Inc.’s controlling individuals, Marc Rowan, Joshua Harris and Leon Black, , or (ii) portfolio companies, other than the following portfolio companies that control a United States domiciled insurer (excluding a captive insurer of a portfolio company) and their respective subsidiaries: Aspen Insurance Holdings Limited; Athene Holding Ltd.; Catalina Holdings (Bermuda) Ltd.; LifePoint Health, Inc.; and VA Capital Company LLC.

SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 – ORGANIZATIONAL CHART

Athene Co-Invest Reinsurance Affiliate Holding 2 Ltd.
Athene Co-Invest Reinsurance Affiliate 2A Ltd.
Athene Co-Invest Reinsurance Affiliate 2B Ltd.
Rosencrantz Depositor, LLC
NNN AGP Opportunities GP, LLC
 NNN AGP Opportunities Fund, L.P.
 NNN AGP Opportunities Fund II, L.P.
 NNN AGP Mezz Borrower II, LLC
 NNN AGP Opp Owner II, LLC
 NNN AGP Opportunities Fund III, L.P.
A-A Offshore 2021-1 (Java), L.P.
AA Pencil Offshore Holdings, L.P.
A-A Funding Holdco Offshore I, LLC
A-A Funding Holdco Offshore II, LLC
Athene Bermuda Employee Company Ltd.
Athene IP Holding Ltd.
Athene North Employment Service Corporation
Athora Holding Ltd.
 Athora UK Services Ltd.
 Athora Ireland Services Limited
 Athora Bermuda Services Ltd.
 Athora IP Company Ltd.
 Athora MEP Ltd.
 Athora Europe Holding (Bermuda) Ltd.
 Athora Europe Holding Limited
 Generali Belgium S.A./N.V.
 Groupe GVA-BC Assurances S.A.
 Dedale S.A./N.V.
 Generali Real Estate Investment B.V.
 Verzekeringskantoor Soenen S.A./N.V.
 Webbroker S.A./N.V.
Athora Life Re Ltd.
 Athora Ireland plc
 Athora Lux Invest S.C.Sp
 Athora Europe Investments DAC
 Athora Lux Invest S.C.Sp
Athora Deutschland Verwaltungs GmbH
Athora Deutschland Holding GmbH & Co. KG
 Athora Deutschland GmbH
 Athora Pensionkasse AG
 Athora Deutschland Service GmbH
 Athora Lux Invest Management S.a.r.l.
 Athora Lux Invest SCSp
 Athora Real Estate Finance GP, LLC
 Athora Real Estate Finance, L.P.
 Athora US Invest (AH), LLC
 Athora HD Investors, L.P.

SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 – ORGANIZATIONAL CHART

- Athora Lebensversicherung AG
 - Athora Real Estate (Lux) S.C.Sp
 - Athora Real Estate (Lux) Services S.a.r.l.
 - Athora Real Estate S.a.r.l.
- Apollo Asset Management, Inc.
 - APO Asset Co., LLC
 - Apollo Principal Holdings I GP, LLC
 - Apollo Principal Holdings I, L.P.
 - Apollo Capital Management IV, Inc.
 - Apollo Advisors IV, L.P.
 - Apollo Investment Fund IV, L.P.
 - Apollo Overseas Partners IV, L.P.
 - Apollo Fund Administration IV, L.L.C.
 - Apollo Capital Management V, Inc
 - Apollo Advisors V, L.P.
 - Apollo Investment Fund V, L.P.
 - Apollo Overseas Partners V, L.P.
 - Apollo Netherlands Partners V(A), L.P.
 - Apollo Netherlands Partners V(B), L.P.
 - Apollo Fund Administration V, L.L.C
 - Apollo Verwaltungs V GmbH
 - Apollo German Partners V GmbH & Co.KG
 - Apollo Capital Management VI, LLC
 - Apollo Advisors VI, L.P.
 - Apollo Investment Fund VI, L.P.
 - Apollo Overseas Partners VI, L.P.
 - Apollo Overseas Partners (Delaware) VI, L.P.
 - Apollo Overseas Partners (Delaware 892) VI, L.P.
 - Apollo Overseas Partners (Germany) VI, L.P.
 - Apollo Management (Germany) VI, L.P.
 - Apollo Fund Administration VI, LLC
 - Apollo Capital Management VII, LLC
 - Apollo Advisors VII, L.P.
 - Apollo Investment Fund VII, L.P.
 - Apollo Investment Fund (I) VII, L.P.
 - Apollo Overseas Partners VII, L.P.
 - Apollo Overseas Partners (I) VII, L.P.
 - Apollo Overseas Partners (Delaware) VII, L.P.
 - Apollo Overseas Partners (Delaware 892) VII, L.P.
 - Apollo Investment Fund (PB) VII, L.P.
 - Apollo Fund Administration VII, LLC
 - AGRE CMBS GP II LLC
 - 2012 CMBS-I GP LLC
 - 2012 CMBS-II GP LLC
 - 2012 CMBS III GP LLC
 - AGRE CMBS GP LLC
 - Apollo ANRP Capital Management, LLC
 - Apollo ANRP Advisors, L.P.

SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

PART 1 – ORGANIZATIONAL CHART

Apollo ANRP Fund Administration, LLC
Apollo Natural Resources Partners, L.P.
Apollo Converse Co-Investors, LLC
Apollo Co-Investors Manager, LLC
AGRE Europe Co-Investors (A), L.P.
AGRE Europe Co-Investors (A), L.P., with respect to Series CAI thereof
AGRE Europe Co-Investors (A), L.P., with respect to Series GSS thereof
AGRE Europe Co-Investors (A), L.P., with respect to Series Prime thereof
AOP Co-Investors (A), L.P.
AOP Co-Investors (D), L.P.
ASOP Co-Investors (D), L.P.
Apollo A-N Credit Co-Investors (FC-D), L.P.
Apollo Accord Co-Investors II (A), L.P.
Apollo Accord Co-Investors II (D), L.P.
Apollo Accord Co-Investors III (A), L.P.
Apollo Accord Co-Investors III (D), L.P.
Apollo Accord Co-Investors III B (A), L.P.
Apollo Accord Co-Investors III B (D), L.P.
Apollo Accord Co-Investors IV (A), L.P.
Apollo Accord Co-Investors IV (B), L.P.
Apollo Accord Co-Investors IV (D), L.P.
Apollo Accord Co-Investors V (A), L.P.
Apollo Accord Co-Investors V (D), L.P.
Apollo Accord+ Co-Investors (A), L.P.
Apollo Accord+ Co-Investors (B), L.P.
Apollo Accord+ Co-Investors (D), L.P.
Apollo ADIP Co-Investors (A), L.P.
Apollo ADIP Co-Investors (D), L.P.
Apollo AGRE SFR Co-Investors (A), L.P.
Apollo AGRE APREF Co-Investors (D), L.P.
Apollo AGRE USREF Co-Investors (A), L.P.
Apollo AIE II Co-Investors (A), L.P.
Apollo AIE II Co-Investors (B), L.P.
Apollo Alamo Co-Investors (D), L.P.
Apollo ANRP Co-Investors (A), L.P.
Apollo ANRP Co-Investors (B), L.P.
Apollo ANRP Co-Investors (D), L.P.
Apollo ANRP Co-Investors (DC-D), L.P.
Apollo ANRP Co-Investors (FC-D), LP
Apollo ANRP Co-Investors (IH-A), LP
Apollo ANRP Co-Investors (IH-D), LP
Apollo ANRP Co-Investors II (A), L.P.
Apollo ANRP Co-Investors II (D), L.P.
Apollo ANRP Co-Investors II (IH-A), L.P.
Apollo ANRP Co-Investors II (IH-D), L.P.
Apollo ANRP Co-Investors II (DC-D), L.P.
Apollo ANRP Co-Investors III (A), L.P.
Apollo ANRP Co-Investors III (D), L.P.

SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

PART 1 – ORGANIZATIONAL CHART

- Apollo ANRP Co-Investors III (DC-D), L.P.
- Apollo APC Co-Investors (A), L.P.
- Apollo Asia Co-Investors, LLC
- Apollo Asia Real Estate Co-Investors (A), L.P.
- Apollo Asia Real Estate II Co-Investors (A), L.P.
- Apollo Asia Real Estate II Co-Investors (D), L.P.
- Apollo Calliope Co-Investors (D), L.P.
- Apollo Capital Efficient Co-Investors (D), L.P.
- Apollo Centre Street Co-Investors (DC-D), L.P.
- Apollo Champ Co-Investors, L.P.
- Apollo Chiron Credit Co-Investors (D), L.P.
- Apollo Co-Investors VI (DC-D), L.P.
- Apollo Co-Investors VI (EH-GP), LLC
- Apollo Co-Investors VI (FC-D), LP
- Apollo Co-Investors VII (B), LLC
- Apollo Co-Investors VII (C), LLC
- Apollo Co-Investors VII (D), L.P.
- Apollo Co-Investors VII (DC-D), L.P.
- Apollo Co-Investors VII (EH-A), L.P.
- Apollo Co-Investors VII (EH-D), LP
- Apollo Co-Investors VII (FC-D), L.P.
- Apollo Co-Investors VII (NR D), L.P.
- Apollo Co-Investors VII (NR DC-D), L.P.
- Apollo Co-Investors VII (NR EH-D), L.P.
- Apollo Co-Investors VII (NR FC-D), LP
- Apollo Co-Investors VIII (A), L.P.
- Apollo Co-Investors VIII (D), L.P.
- Apollo Co-Investors VI (EH-D), LP
- Apollo Co-Investors VIII (DC-D), L.P.
- Apollo Co-Investors VIII (EH-A), L.P.
- Apollo Co-Investors VIII (EH-D), L.P.
- Apollo Co-Investors VIII (FC-D), L.P.
- Apollo Co-Investors X (A), L.P.
- Apollo Co-Investors X (D), L.P.
- Apollo Co-Investors IX (A), L.P.
- Apollo Co-Investors IX (D), L.P.
- Apollo Co-Investors VI (A), LLC
- Apollo Co-Investors VI (B), LLC
- Apollo Co-Investors VI (C), LLC
- Apollo Co-Investors VI (D), L.P.
- Apollo Co-Investors VII (A), L.P
- Apollo Credit Fund Co-Investors, LP
- Apollo Credit Opportunity Co-Investors III (A) LP
- Apollo Credit Opportunity Co-Investors III (B) LP
- Apollo Credit Strategies Co-Investors, LP
- Apollo Credit Strategies Co-Investors (D), L.P.
- Apollo Defined Return Co-Investors (D), L.P.
- Apollo Digital Origin Co-Investors (A), L.P.

SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

PART 1 – ORGANIZATIONAL CHART

Apollo Digital Origin Co-Investors (D), L.P.
Apollo Energy Opportunity Co-Investors (A) LP
Apollo EPF Co-Investors II (A), L.P.
Apollo EPF Co-Investors II (D), L.P.
Apollo EPF Co-Investors II (Euro), L.P.
Apollo EPF Co-Investors III (A), L.P.
Apollo EPF Co-Investors III (D), L.P.
Apollo EPF Co-Investors IV (A), L.P.
Apollo EPF Co-Investors IV (D), L.P.
Apollo Excelsior Co-Investors (D), L.P.
Apollo Franklin Co-Investors (DC-D), L.P.
Apollo HVF Co-Investors (A), L.P.
Apollo HVF Co-Investors (D), L.P.
Apollo HVF Co-Investors (DC-D), L.P.
Apollo HVF Co-Investors II (A), L.P.
Apollo HVF Co-Investors II (D), L.P.
Apollo Impact Mission Co-Investors (A), L.P.
Apollo Impact Mission Co-Investors (D), L.P.
Apollo Infra Equity Co-Investors (A), L.P.
Apollo Infra Equity Co-Investors (D), L.P.
Apollo Infra Equity Co-Investors (IH-D), L.P.
Apollo Infrastructure Opportunities II Co-Investors (A), L.P.
Apollo Infrastructure Opportunities II Co-Investors (D), L.P.
Apollo Kings Alley Credit Co-Investors (D), L.P.
Apollo Lincoln Private Credit Co-Investors (DC-D), L.P.
Apollo Navigator Co-Investors I (A), L.P.
Apollo Navigator Co-Investors I (D), L.P.
Apollo Navigator Co-Investors I (DC-D), L.P.
Apollo PPF Credit Strategies Co-Investors (FC-D), L.P.
Apollo Revolver Co-Investors (A), L.P.
Apollo Revolver Co-Investors (D), L.P.
Apollo Revolver Co-Investors II (A), L.P.
Apollo Revolver Co-Investors II (D), L.P.
Apollo Royalties Co-Investors I (D), L.P.
Apollo RRH Co-Investors (A), L.P.
Apollo Senior Loan Fund Co-Investors (D), L.P.
Apollo SOMA Co-Investors, LLC
Apollo SPAC Co-Investors I (A), L.P.
Apollo SPAC Co-Investors I (D), L.P.
Apollo Special Situations Co-Investors (A), L.P.
Apollo Special Situations Co-Investors (D), L.P.
Apollo Special Situations Co-Investors (IH-A), L.P.
Apollo Special Situations Co-Investors (IH-D), L.P.
Apollo SPN Co-Investors (D), L.P.
Apollo SPN Co-Investors (DC-D), L.P.
Apollo SPN Co-Investors (FC-D), L.P.
Apollo Structured Credit Recovery Co-Investors III (A) LP
Apollo Structured Credit Recovery Co-Investors IV (A) LP

SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP	
PART 1 – ORGANIZATIONAL CHART	
	Apollo SVF 2010 Bonus Pool, L.P.
	Apollo SVF Co-Investors Offshore, L.P.
	Apollo SVF Co-Investors, LLC
	Apollo Tactical Value SPN Co-Investors (DC-D), L.P.
	Apollo Total Return Co-Investors (A) LP
	Apollo Total Return Fund - Investment Grade Co-Investors (A), L.P.
	Apollo Total Return Fund - Investment Grade Co-Investors (D), L.P.
	Apollo Tower Credit Co-Investors (DE FC-D), L.P.
	Apollo Union Street Co-Investors (D), L.P.
	Apollo Union Street SPV Co-Investors (D), L.P.
	Apollo USREF Co-Investors II (A), L.P.
	Apollo USREF Co-Investors III (A), L.P.
	Apollo USREF Co-Investors III (D), L.P.
	Apollo USREF III Royce Co-Investors (A), L.P.
	Apollo Venerable Co-Investors (A), L.P.
	FCI Co-Investors II (A), L.P.
	FCI Co-Investors III (A), L.P.
	FCI Co-Investors IV (A), L.P.
	FCI Co-Investors IV (D), L.P.
VA Capital Management CIV GP, LLC	VA Capital Management Co-Investors, L.P.
APH Holdings, L.P.	
	ACC Advisors A/B, LLC
	Apollo U.S. Real Estate Advisors GP III, LLC
	Apollo U.S. Real Estate Advisors III, L.P.
	Apollo U.S. Real Estate Partners III (Offshore), L.P.
	Apollo U.S. Real Estate Partners III (TE), L.P.
	Apollo U.S. Real Estate Fund III, L.P.
	Apollo U.S. Real Estate Fund III (Lux) GP, S.a r.l.
	Apollo U.S. Real Estate Fund III Acquisitions, LLC
	Apollo 100 West Putnam Advisors GP, LLC
	Apollo Capital Management X, LLC
	Apollo Advisors X, L.P.
	Apollo Co-Investors X (B), L.P.
	Apollo Co-Investors X (C), L.P.
	Apollo Investment Fund (DE-I) X, L.P.
	Apollo Investment Fund X, L.P.
	Apollo Overseas Partners (Delaware) X, L.P.
	Apollo Overseas Partners (Delaware 892) X, L.P.
	Apollo Overseas Partners (I) X, L.P.
	Apollo Overseas Partners X, L.P.
	Apollo Advisors X (EH-GP), LLC
	Apollo ADIP Capital Management II, LLC
	Apollo ADIP Advisors II, L.P.
	Apollo/Athene Dedicated Investment Program II, L.P.
	Apollo Climate Partners Capital Management, LLC
	Apollo Climate Partners Advisors, L.P.
	Apollo Climate Partners, L.P.

SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

PART 1 – ORGANIZATIONAL CHART

- Apollo Climate Partners (DE 892), L.P.
- Apollo Climate Overseas Partners (Lux) GP, S.a r.l.
- AP Violet Advisors GP, LLC
- Apollo Principal Holdings III GP, Ltd.
- Apollo Principal Holdings III, L.P.
- Apollo Administration GP Ltd.
- Stanhope Life Advisors, L.P.
- Apollo Advisors V (EH), LLC
- Apollo Advisors V (EH Cayman), L.P.
- Apollo Advisors VI (EH-GP), Ltd.
- Apollo Advisors VI (EH), L.P.
- Apollo Advisors VII (EH-GP), Ltd.
- Apollo Advisors VII (EH), L.P.
- AAA Life Re Carry, L.P.
- Apollo ANRP Advisors (IH-GP), LLC
- Apollo ANRP Advisors (IH), L.P.
- Financial Credit I Capital Management, LLC
- Financial Credit Investment Advisors I, L.P.
- Apollo SPN Capital Management, LLC
- Apollo SPN Advisors, L.P.
- Apollo SPN Investments I, L.P.
- AAA MIP Limited
- AAA Associates, L.P.
- AAA Investments (Co-Invest VI), L.P.
- AP Caps II Holdings GP, LLC
- Acropolis Infrastructure Acquisition Sponsor, L.P.
- APSG Sponsor, L.P.
- APSG Sponsor II, L.P.
- APSG Sponsor III, L.P.
- Delphi Growth Capital Sponsor, L.P.
- APSG Advisors GP, LLC
- Acropolis Infrastructure Acquisition Advisors, L.P.
- APSG Advisors, L.P.
- APSG Advisors II, L.P.
- Delphi Growth Capital Advisors, L.P.
- Delaware Rose GP L.L.C
- Apollo Rose GP, L.P.
- Apollo Rose II Co-Investors (H), L.P.
- Apollo Rose II (A), L.P.
- Apollo Rose II (B), L.P.
- Apollo Rose II (C), L.P.
- Apollo Rose II (D), L.P.
- Apollo Rose II (E), L.P.
- Apollo Rose II (F), L.P.
- Apollo Rose II (G), L.P.
- Catalina Holdings (Bermuda) Ltd.
- Asia Capital Reinsurance Group PTE. Ltd.
- Asia Capital Reinsurance Malaysia SDN BHD

SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

PART 1 – ORGANIZATIONAL CHART	
	Propco (Telford) Limited
	ACR ReTakaful Holdings Ltd. UAE
	ACCR Sendirian Berhad
Catalina Alpha Ltd	
	Alea Group Holdings (Bermuda) Ltd.
	FIN LLC
	Alea Holdings US Company
	Alea North America Insurance Company
	SPARTA Insurance Company
	Catalina U.S. Insurance Services LLC
	National American Insurance Company of California
	QLT Buffalo LLC
	AHUSCO Statutory Trust I
	AHUSCO Statutory Trust II
	AHUSCO Statutory Trust III
Catalina General Insurance Ltd.	
	Catalina Corporate Capital Limited
	Catalina Echo Limited
	Residential Loss Control Holdings LLC
	Home Construction Management Inc
	National Home Insurance Company (A Risk Retention Group)
	ProBuilders Specialty Insurance Company, RRG
	Catalina Services Switzerland AG
Catalina Foxtrot Holdings Limited	
	Catalina Insurance Ireland dac
	Propco (Swansea) Limited
Catalina Oxenwood Real Estate II Ltd	
	OXW Capital LP
	Oxenwood Real Estate LLP
	OXW Partners LP
Catalina Oxenwood European Investments Ltd	
	Oxenwood Catalina III Limited
	OXW Catalina European Holdco S.a.r.l.
	Oxenwood Catalina (Germany II) S.à.r.l.
	Oxenwood Catalina Neuss S.a.r.l.
Catalina Permian Ltd	
Catalina Acra Zurich Reinsurance Trust	
	Propco (Newport) Limited
	Propco (Greenock) Limited
	Propco (Yeovil) Limited
	Propco (Newcastle) Limited
Catalina Oxenwood Investments Ltd	
	OXW Catalina UK Limited
	OXW Catalina (Logistics XVII) Limited
	OXW Catalina (Logistics XXV) Limited
	OXW Catalina (Logistics XXVI) Limited
	OXW Catalina (Logistics XXVII) Limited
	AD Land Exeter Ltd

SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

PART 1 – ORGANIZATIONAL CHART

Catalina Holdings UK Limited
Catalina Worthing Insurance Limited
Propco (Swansea) Limited
Catalina Services UK Limited
Catalina London Limited
AGF Insurance Limited

Wessex GP LLC
 Apollo Rose II (I), L.P.
 Athene Wessex Investor A, L.P.
 Athene Wessex Investor B, L.P.
 Athene Wessex Investor C, L.P.
 Athene Wessex Investor D, L.P.
 Apollo Wessex Advisors GP, LLC
 Apollo Wessex Advisors, L.P.
 Wessex Holdings, L.P.
 AP Extreme Advisors GP, LLC
APH Holdings, L.P.
 Apollo ANRP Capital Management II, LLC
 Apollo ANRP Advisors II, L.P.
 Apollo Natural Resources Partners II, L.P.
 AP Boardwalk GP, LLC
 Apollo ANRP Co-Investors II (B), L.P.
 Apollo ANRP Capital Management III, LLC
 Apollo ANRP Advisors III, L.P.
 Apollo Natural Resources Partners (Lux) III GP, S.a r.l.
 Apollo Natural Resources Partners (Lux) III, SCSp
 Apollo Natural Resources Partners III, L.P.
 Apollo ANRP Co-Investors III (B), L.P.
 ANRP III (T-P1) Holdings, L.P.
 Apollo ANRP Advisors III (P2), L.P.
 Apollo Natural Resources Partners (P2) III, L.P.
 Apollo Capital Management VIII, LLC
 Apollo Advisors VIII, L.P.
 Apollo Fund Administration VIII, LLC
 Apollo Investment Fund VIII, L.P.
 Apollo Investment Fund (I) VIII, L.P.
 Apollo Overseas Partners VIII, L.P.
 Apollo Overseas Partners (I) VIII, L.P.
 Apollo Overseas Partners (Delaware) VIII, L.P.
 Apollo Overseas Partners (Delaware 892) VIII, L.P.
 Apollo Hybrid Value Capital Management, LLC
 Apollo Hybrid Value Advisors, L.P.
 Apollo HVF Co-Investors (B), L.P.
 Apollo HVF Co-Investors (C), L.P.
 Apollo Hybrid Value Overseas Partners (Lux) GP, S.a r.l.
 Apollo Hybrid Value Overseas Partners (Lux), SCSp
 Apollo Hybrid Value Overseas Partners (Delaware 892), L.P.
 Apollo Hybrid Value Overseas Partners, L.P.

SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP	
PART 1 – ORGANIZATIONAL CHART	
	Apollo Hybrid Value Fund, L.P.
	AA Direct GP, LLC
	AA Direct, L.P.
	AP AL Holdings GP, LLC
	AP Ulysses Advisors, LLC
	AP Monterrey Advisors, LLC
	AP Beta 7, L.P.
	VA Capital Company LLC
	Venerable Holdings, Inc.
	Venerable Insurance and Annuity Company
	Corporate Solutions Life Reinsurance Company
	Rocky Range, Inc.
	Directed Services LLC
	VIAC Services Company
	Apollo Special Situations Advisors GP, LLC
	Apollo Special Situations Advisors, L.P.
	Apollo Special Situations Fund, L.P.
	AA GP Solutions Advisors GP, LLC
	AA GP Solutions Advisors, L.P.
	AA GP Solutions Fund, L.P.
	Apollo Credit Secondaries I GP, LLC
	Apollo Credit Secondaries Fund I, L.P.
	Apollo Credit Secondaries Fund I (A), L.P.
	Apollo Credit Secondaries Fund I (B), L.P.
	Apollo Credit Secondaries I Advisors GP, LLC
	Apollo Credit Secondaries I Advisors, L.P.
	Apollo Advisors IX (EH-GP), LLC
	Apollo Advisors IX (EH), L.P.
	AIF IX International Holdings, L.P.
	AP Highlands Holdings (GP), LLC
	AP Highlands Holdings, L.P.
	Highlands Bermuda Holdco, Ltd.
	Aspen Insurance Holdings Limited
	Aspen Bermuda Limited
	Aspen (UK) Holdings Limited
	Aspen Recoveries Limited
	Aspen Risk Management Limited
	Aspen UK Syndicate Services Limited
	APJ Asset Protection Jersey Limited
	Aspen U.S. Holdings, Inc.
	Aspen American Insurance Company
	Aspen Specialty Insurance Company
	Aspen Insurance U.S. Services Inc.
	Aspen Specialty Insurance Management, Inc.
	Aspen Specialty Insurance Solutions, LLC
	Aspen Re America, Inc.
	Digital Risk Resources, LLC
	Aspen Insurance UK Services Limited

SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP	
	PART 1 – ORGANIZATIONAL CHART
	AIUK Trustees Limited
	Aspen Australia Service Company Pty Limited
	Aspen European Holdings Limited
	Aspen Insurance UK Limited
	Acorn Limited
	Blue Waters Insurers, Corp.
	Aspen Managing Agency Limited
	Aspen Singapore Pte. Limited
	Aspen Underwriting Limited
	Silverton Re Ltd.
	Aspen (US) Holdings Limited
	Aspen Capital Advisors Inc.
	Aspen Capital Management Limited
	Peregrine Reinsurance Ltd
	Aspen Cat Fund Limited
Harvest Holdings II GP, LLC	
Harvest Holdings II (C), L.P.	
Harvest Holdings II (V), L.P.	
Karpos Investments II (C), L.P.	
Karpos Investments II (V), L.P.	
Apollo Infra Equity Advisors (IH-GP), LLC	
Apollo Infra Equity Advisors (IH UT), L.P.	
Apollo Infra Equity Advisors (IH), L.P.	
	Apollo Infra Equity International Fund, L.P.
	Apollo Infra Equity Feeder Fund (TE Debt), L.P.
Apollo FIG Carry Pool Aggregator GP, LLC	
Apollo FIG Carry Pool Aggregator, L.P.	
Apollo FIG Carry Pool Intermediate (FC), L.P.	
Apollo FIG Carry Pool Intermediate, L.P.	
Apollo Advisors VIII (EH-GP), Ltd.	
Apollo Advisors VIII (EH), L.P.	
	Apollo Co-Investors VIII (EH-E), L.P.
Financial Credit II Capital Management, LLC	
Financial Credit Investment Advisors II, L.P.	
Financial Credit Investment II, L.P.	
Financial Credit III Capital Management, LLC	
Financial Credit Investment Advisors III, L.P.	
Financial Credit Investment III, L.P.	
Financial Credit IV Capital Management, LLC	
Financial Credit Investment Advisors IV, L.P.	
Financial Credit Investment IV, L.P.	
Financial Credit Investment (PF) IV, L.P.	
Financial Credit Investment IV (Feeder), L.P.	
Apollo ADIP Capital Management, LLC	
Apollo ADIP Advisors, L.P.	
	Apollo/Athene Dedicated Investment Program (A), L.P.
	Apollo/Athene Dedicated Investment Program (B), L.P.
	Apollo/Athene Dedicated Investment Program (C), L.P.

SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP	
PART 1 – ORGANIZATIONAL CHART	
	Apollo/Athene Dedicated Investment Program (D), L.P.
	Apollo/Athene Dedicated Investment Program (E), L.P.
	Apollo ADIP (Lux) GP, S.a r.l.
	Apollo/Athene Dedicated Investment Program (Lux), SCSp
Apollo Hybrid Value Capital Management II, LLC	
	Apollo Hybrid Value Advisors II, L.P.
	Apollo Hybrid Value Overseas Partners II, L.P.
	Apollo Hybrid Value Overseas Partners (Delaware 892) II, L.P.
	Apollo Hybrid Value Fund II, L.P.
	Apollo HVF Co-Investors II (B), L.P.
	Apollo Hybrid Value Overseas Partners (Lux) GP II, S.a r.l.
	Apollo Hybrid Value Overseas Partners (Lux) II, SCSp
	Apollo Grizzly Bear Co-Invest Ultimate GP, LLC
	Apollo Hybrid Value Overseas Partners (MS I) II, L.P.
	Apollo Hybrid Value Fund (MS I) II, L.P.
	Apollo TAMF Co-Invest Ultimate GP, LLC
	Apollo TAMF Co-Invest GP, L.P.
	Apollo TAMF Co-Invest, L.P.
AA IX Holdings, LLC	
Apollo Impact Mission Capital Management, LLC	
	Apollo Impact Mission Advisors, L.P.
	Apollo Impact Mission Overseas Partners (Delaware 892), L.P.
	Apollo Impact Mission Fund, L.P.
	Apollo Impact Mission Overseas Partners (Lux) GP, S.a r.l.
	Apollo Impact Mission Overseas Partners (Lux), SCSp
	Apollo Impact Mission Co-Investors (B), L.P.
	Apollo Impact Mission Fund (Overseas), L.P.
Apollo Capital Management IX, LLC	
	Apollo Advisors IX, L.P.
	AP Castle Holdings GP S.a r.l.
	Apollo Fund Administration IX, LLC
	Apollo Investment Fund IX, L.P.
	Apollo Overseas Partners (Delaware) IX, L.P.
	Apollo Overseas Partners (Delaware 892) IX, L.P.
	Apollo Overseas Partners IX, L.P.
	Apollo Overseas Partners (I) IX, L.P.
	Apollo Co-Investors IX (B), L.P. Apollo Investment Fund (I) IX, L.P.
	Apollo Co-Investors IX (C), L.P.
	Apollo Overseas Partners (Lux) IX GP, S.a r.l.
	Apollo Overseas Partners (Lux) IX, SCSp
	AP IX GenPar, LLC
	AP IX DSB Holdings, L.P.
	DSB Parent GP, LLC
	Knight Parent Ventures LP
	Forward Health Ventures L.P.
	Forward Health Ventures Holdings, Inc.
	Forward Health Ventures (A) LLC
	Forward Health Ventures (B) LLC

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STeM Parent L.P.
STeM Holdings Inc.
STeM Management, LLC
STeM Operating, LLC
Forward Health Ventures Investments GP, LLC
DSB Holdings II GP, LLC
DSB Holdings II, L.P.
DSB Parent L.P.
DSB Holdings, Inc.
DSB Acquisition LLC
LifePoint Health, Inc.
Legacy LifePoint Health, LLC
Province Healthcare Company, LLC
Brim Hospitals, Inc.
Colorado Plains Physician Practices, LLC
Las Cruces Cardiology Group, LLC
Las Cruces Endoscopy Partner, LLC
Las Cruces Endoscopy, LLC
Lohman Endoscopy Center, LLC
Las Cruces Physician Practices, LLC
Los Alamos Physician Practices, LLC
Martinsville Physician Practices, LLC
Memorial Prompt Care, LLC
Northeastern Nevada Physician Practices, LLC
PHC-Aviation, Inc.
PHC-Elko, Inc.
PHC-Fort Mohave, Inc.
PHC-Fort Morgan, Inc.
PHC-Lake Havasu, Inc.
Havasut Regional Medical Center, LLC
HRMC, LLC
PHC-Lakewood, Inc.
PHC-Morgan City, L.P.
PHC-Las Cruces, Inc.
PHC-Los Alamos, Inc.
PHC-Minden G.P., Inc.
PHC-Minden, LLC
PHC-Morgan Lake, Inc.
PHC-Morgan City, L.P.
PHC-Selma, LLC
PHC-Tennessee, Inc.
Principal-Needles, Inc.
Teche Regional Physician Practices, LLC
Valley View Physician Practices, LLC
Historic LifePoint Hospitals, LLC
LifePoint Hospitals Holdings, LLC
AdvantagePoint Health Alliance, LLC
AdvantagePoint Health Alliance -

SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 – ORGANIZATIONAL CHART

- AdvantagePoint Health Alliance – Bluegrass, LLC
- AdvantagePoint Health Alliance - Hot Springs, LLC
- AdvantagePoint Health Alliance - Mesilla Valley, LLC
- AdvantagePoint Health Alliance - Paris, LLC
- AdvantagePoint Health Alliance – Tennessee Valley, LLC
- AdvantagePoint Health Alliance – Great Lakes, LLC
- AdvantagePoint Health Alliance – Laurel Highlands, LLC
- AdvantagePoint Health Alliance – Northwest, LLC
- AdvantagePoint Health Alliance – Western North Carolina, LLC
- Ashley Valley Medical Center, LLC
- Ashley Valley Physician Practice, LLC
- Castleview Medical, LLC
 - Castleview Hospital, LLC
 - Castleview Physician Practice, LLC
- HealthyHub, LLC
- Home Health Partner, LLC
- HSC Credentialing Support Services, LLC
- HSCGP, LLC
 - LifePoint Corporate Services, General Partnership
 - America Management Companies, LLC
 - LifePoint Billing Services, LLC
 - Forward Health Ventures (A) LLC
 - Forward Health Ventures (B) LLC
- LifePoint CSLP, LLC
 - LifePoint Corporate Services, General Partnership
 - Forward Health Ventures (A) LLC
 - Forward Health Ventures (B) LLC
- LifePoint Holdings 2, LLC
 - AccessPoint, LLC
 - Acquisition Bell Hospital, LLC
 - Upper Peninsula Health Plan, LLC
 - Upper Peninsula Managed Care, LLC
 - AMG-Crockett, LLC
 - AMG-Southern Tennessee, LLC
 - AMG-Trinity, LLC
 - Athens Physicians Practice, LLC
 - Athens Regional Medical Center, LLC
 - Athens Surgery Center Partner, LLC
 - Athens Surgery Center, LLC
 - Bell JV, LLC
 - Bell Physician Practices, Inc.
 - Clark Regional Physician Practices, LLC
 - Community Medical, LLC
 - Community-Based Services, LLC
 - Crockett Hospital, LLC
 - Crockett PHO, LLC
 - Danville Diagnostic Imaging Center, LLC
 - Danville Physician Practices, LLC

SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

PART 1 – ORGANIZATIONAL CHART

- Danville Regional Medical Center, LLC
 - Danville Regional Medical Center School of Health Professions, LLC
 - Gateway Health Alliance, Inc.
 - Memorial Hospital of Martinsville & Henry County Ambulatory Surgery Center, LLC
 - Two Rivers Physician Practices, LLC
- DLP Partner, LLC
 - DLP Healthcare, LLC
 - DLP Marquette Holding Company, LLC
 - DLP Marquette Health Plan, LLC
 - Upper Peninsula Health Plan, LLC
 - Upper Peninsula Managed Care, LLC
 - DLP Marquette General Hospital, LLC
 - DLP Marquette JV, LLC
 - U.P. Imaging Management Services, LLC
 - DLP Marquette Physician Practices, Inc.
 - DLP Cardiac Partners, LLC
 - DLP Central NC Holding Company, LLC
 - DLP Central Carolina Medical Center, LLC
 - DLP Central Carolina Family Medicine, LLC
 - DLP Central Carolina Medical Group, LLC
 - DLP Central Carolina Physician Practices, LLC
 - DLP Central NC JV, LLC
 - Guardian Health Service, L.L.C.
 - DLP Frye Regional Medical Center, LLC
 - DLP Cardiology Associates, LLC
 - DLP Cardiology Physicians, LLC
 - DLP Frye Medical Group, LLC
 - DLP Frye Regional Physician Practices, LLC
 - DLP Graystone Family Practice Associates, LLC
 - DLP Hickory Family Practice Associates, LLC
 - DLP Good Shepherd Holding Company, LLC
 - DLP Good Shepherd JV, LLC
 - DLP Gregg County Hospital, LLC
 - DLP Marshall Medical Center, LLC
 - DLP Harris JV, LLC
 - DLP Harris Regional Hospital, LLC
 - DLP Western Carolina Physician Practices, LLC
 - DLP Haywood Regional Medical Center, LLC
 - DLP Maria Parham Medical Center, LLC
 - DLP Maria Parham Physician Practices, LLC
 - DLP Person Memorial Hospital, LLC
 - DLP Person Physician Practices, LLC
 - DLP Person Urgent Care, LLC
 - DLP Rutherford Regional Health System, LLC
 - DLP Rutherford Physician Practices, LLC
 - DLP Swain County Hospital, LLC
 - DLP Twin County Holding Company, LLC
 - DLP Twin County Physician Practices, LLC

SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 – ORGANIZATIONAL CHART

- DLP Twin County Regional Healthcare, LLC
- DLP Wilson Holding Company, LLC
 - DLP WilMed Nursing Care and Rehabilitation Center, LLC
 - DLP Wilson Medical Center, LLC
 - DLP Wilson Physician Practices, LLC
- DLP Partner Central Carolina, LLC
- DLP Partner Conemaugh, LLC
- DLP Partner Frye, LLC
- DLP Partner Marquette, LLC
- DLP Partner MedWest, LLC
- DLP Partner Twin County, LLC
- DLP Partner Wilson Rutherford, LLC
- Fauquier Partner, LLC
 - Fauquier Holding Company, LLC
 - Fauquier Diagnostic Imaging Center, LLC
 - Fauquier Long-Term Care, LLC
 - Fauquier Medical Center, LLC
 - Fauquier Physician Practices, LLC
- Georgetown Rehabilitation, LLC
- HDP Andalusia, LLC
- HDP Georgetown, LLC
- Hillside Hospital, LLC
- HSC Manager, LLC
- Kansas Healthcare Management Company, Inc.
 - Kansas Healthcare Management Services, LLC
- Kansas Healthcare Management Services, LLC
- Kentucky Hospital, LLC
- Kentucky Medserv, LLC
- Kentucky Physician Services, Inc. LHSC, LLC
- LifePoint Medical Group – Hillside, Inc.
 - AMG-Hillside, LLC
- LifePoint of Kentucky, LLC
 - Bourbon Community Hospital, LLC
 - Bourbon Physician Practice, LLC
 - Buffalo Trace Radiation Oncology Associates, LLC
 - Fleming Medical Center, LLC
 - Georgetown Community Hospital, LLC
 - HCK Logan Memorial, LLC
 - Kentucky MSO, LLC
 - Meadowview Physician Practice, LLC
 - Meadowview Regional Medical Center, LLC
 - Meadowview Regional Medical Center Pain Management, LLC
 - PineLake Physician Practice, LLC
 - PineLake Regional Hospital, LLC
 - R. Kendall Brown Practice, LLC
 - Silechnik Practice, LLC
 - Woodford Hospital, LLC
- LifePoint of Lake Cumberland, LLC

SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 – ORGANIZATIONAL CHART

LCMC PET, LLC
Somerset Surgery Partner, LLC
 Lake Cumberland Surgery Center, LP
Lake Cumberland Cardiology Associates, LLC
Lake Cumberland Physician Practices, LLC
 LCMC MRI, LLC
Lake Cumberland Regional Hospital, LLC
Lake Cumberland Regional Physician Hospital Organization, LLC
LifePoint RC, Inc.
Logan Medical, LLC
Meadowview Rights, LLC
Northwest Medical Center-Winfield, LLC
Norton Partner, LLC
 The Regional Health Network of Kentucky and Southern Indiana, LLC
 RHN Clark Memorial Hospital, LLC
 RHN Clark Memorial Physician Practices, LLC
 RHN Scott Memorial Hospital, LLC
 RHN Scott Physician Practices, LLC
NWMC-Winfield Hospitalist Physicians, LLC
NWMC-Winfield Physician Practices, LLC
Piedmont Partner, LLC
Portage Holding Company, LLC
 PH Copper Country Apothecaries, LLC
 Portage Hospital, LLC
 Portage Calumet MOB, LLC
 Portage JV, LLC
 Ontonagon Community Health Center, Inc.
 Upper Peninsula Health Plan, LLC
 Upper Peninsula Managed Care, LLC
 Portage Physician Practices, Inc.
Portage Partner, LLC
Professional Billing Services, LLC
Providence Holding Company, LLC
 Providence Group Practices, LLC
 Providence Group Practices II, LLC
 Providence Hospital, LLC
 PERS Legacy, LLC
 Providence Imaging Center, LLC
 Providence Professional Services, LLC
 Providence Physician Practices, LLC
River Parishes Hospital, LLC
River Parishes Partner, LLC
River Parishes Physician Practices, LLC
Riverview Medical Center, LLC
Riverview Physician Practices, LLC
Rockdale Clinically Integrated Medical Care Organization, LLC
Rockdale Hospital, LLC
Rockdale Physician Practices, LLC

SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

PART 1 – ORGANIZATIONAL CHART

- Smith County Memorial Hospital, LLC
 - Southern Tennessee EMS, LLC
 - Southern Tennessee Medical Center, LLC
 - Southern Tennessee PHO, LLC
 - Spring View Hospital, LLC
 - Spring View Physician Practices, LLC
 - Springhill Medical Center, LLC
 - Sumner Partner, LLC
 - Sumner Ambulatory Surgery Center, LLC
 - Sumner Physician Practices, LLC
 - Sumner Real Estate Holdings, LLC
 - Sumner Regional Medical Center, LLC
 - SST Community Health, L.L.C.
 - THM Physician Practice, LLC
 - Trousdale Medical Center, LLC
 - Trousdale Physician Practices, LLC
 - Ville Platte Medical Center, LLC
 - Wythe County Community Hospital, LLC
 - Wythe County Physician Practices, LLC
- LifePoint NMTC, LLC
- LifePoint PSO, LLC
- My HealthPoint, LLC
- Postras Practice, LLC
- Riverton Oncology Practice, LLC
- Shared Business Services, LLC
- LifePoint Acquisition Corp.
 - LifePoint VA Holdings, Inc.
 - Clinch Professional Physician Services, LLC
 - Clinch Valley Medical Center, Inc.
 - Clinch Valley Physicians Associates, LLC
 - Clinch Valley Pulmonology, LLC
 - Clinch Valley Urology, LLC
 - Orthopedics of Southwest Virginia, LLC
 - LifePoint WV Holdings, Inc.
 - Raleigh General Hospital, LLC
 - West Virginia Management Services Organization, Inc.
- Lima HoldCo, LLC
 - Capella Health Holdings, LLC
 - Capella Holdings, LLC
 - Capella Healthcare, LLC
 - Capella DISCO, LLC
 - Kershaw Health Holdings, LLC
 - Kershaw Clinics, LLC
 - Kershaw Hospital, LLC
 - KershawHealth Ambulatory Surgery Center, LLC
 - KershawHealth Cancer Center, LLC
 - Muskogee Holdings, LLC
 - Capella Holdings of Oklahoma, LLC

SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 – ORGANIZATIONAL CHART

- Muskogee Medical and Surgical Associates, LLC
- Muskogee Physician Group, LLC
- Muskogee Regional Medical Center, LLC
- Providence MRI Associates, L.L.C.
- Providence Radiologic Services, L.C.
- NPMC Holdings, LLC
 - Arkansas Healthcare Services, LLC
 - Hot Springs Imaging Center, LLC
 - Hot Springs National Park Hospital Holdings, LLC
 - National Park Real Property, LLC
 - National Park Cardiology Services, LLC
 - National Park Endoscopy Center, LLC
 - National Park Family Care, LLC
 - National Park GI Services, LLC
 - NPMC, LLC
- Oregon Healthcorp, LLC
 - McMinnville Immediate Health Care, LLC
 - Willamette Valley Clinics, LLC
 - Willamette Valley Health Solutions, LLC
 - Willamette Valley Medical Center, LLC
- RCCH PMDS, LLC
- RCCH Trios Health Holdings, LLC
- RCCH Washington Holdings, LLC
 - CMCH Holdings, LLC
 - Lourdes Holdings, LLC
 - Lourdes Hospital, LLC
 - Lourdes Physician Services, LLC
- PNWCIN LLC
- RCCH-Northwest, LLC
 - RCCH-UW Medicine Healthcare Holdings, LLC
 - CCMC Holdeo, LLC
 - RCCH Trios Health, LLC
 - High Desert Surgery Center, L.L.C.
 - RCCH Trios Physicians, LLC
- Saline County Hospital, LLC
- St. Joseph Holdings, LLC
 - St. Joseph Hospital, LLC
 - SJRMC Interventional Radiology Services, LLC
 - St. Joseph Physician Services, LLC
- St. Mary’s Holdings, LLC
 - Russellville Holdings, LLC
 - Saint Mary’s Primary Care Network, LLC
 - St. Mary’s Physician Services, LLC
 - St. Mary’s Specialty, LLC
- Saline County Medical Center Joint Venture, LLC
 - Saline Clinics, LLC
 - Saline Hospital, LLC
 - Saline FirstCare, Inc.

SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

PART 1 – ORGANIZATIONAL CHART

- DLP Lima Partner, LLC
 - DLP Conemaugh Holding Company, LLC
 - DLP Conemaugh Memorial Medical Center, LLC
 - DLP Conemaugh Miners Medical Center, LLC
 - DLP Conemaugh Meyersdale Medical Center, LLC
 - DLP Conemaugh Physician Practices, LLC
 - DLP Conemaugh JV, LLC
 - Nason Medical Center, LLC
 - Nason Physician Practices, LLC
 - RCHP-Ottumwa Holdings, Inc.
 - Ottumwa Physicians, LLC
 - Ottumwa ER, LLC
 - Ottumwa Health Group, LLC
 - RCHP-Ottumwa, LLC
 - Collaborative Laboratory Services, L.L.C.
 - RRL Ottumwa, LLC
 - Western Plains Regional Hospital, LLC
 - Dodge City Healthcare Group, LLC
 - Western Plains Physician Practices, LLC
- Lima HoldCo Parent, LLC
- RCHP, LLC
 - Point of Life Indemnity, LTD.
 - RCHP Management Company, Inc.
 - RegionalCare Hospital Partners, LLC
 - Essent Healthcare, Inc.
 - EHCO, LLC
 - Essent Healthcare of Massachusetts, Inc.
 - Essent Haverhill Healthcare Group, Inc.
 - Essent DISCO, LLC
 - Essent Healthcare – Ayer, Inc.
 - Essent – Ayer Healthcare Group, Inc.
 - Essent Healthcare – Paris, Inc.
 - EHC PRMC G.P., LLC
 - Essent PRMC, L.P.
 - Texas & Oklahoma Preferred Provider System
 - EHC PRMC L.P., LLC
 - Essent PRMC, L.P.
 - Lamar County Clinical Services, Inc.
 - PRMC ER Group, Inc.
 - PRMC Healthcare Group, Inc.
 - Essent Healthcare – Pennsylvania, Inc.
 - Essent Healthcare – Waynesburg, LLC
 - SRMC Healthcare Group, LLC
 - Essent Realty, Inc.
 - Sharon Hospital Holding Company
 - Essent Healthcare of Connecticut, Inc.
 - Florence Physicians, LLC
 - ECM Health Group, LLC

SCHEDULE Y
PART 1A – DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Required? (Yes/No)	*
00000		00000	27-0470646				RegionalCare Hospital Partners, LLC	DE	NIA	RCHP, LLC	Ownership	100.0	Apollo Global Management, Inc.; Leon Black; Joshua Harris; Marc Rowan.	NO	
00000		00000	85-3490777				Rehabilitation Hospital of Louisville East, LLC	KY	NIA	KND IRF Development 54, LLC	Ownership	49.0	Apollo Global Management, Inc.; Leon Black; Joshua Harris; Marc Rowan.	NO	
00000		00000	85-3490777				Rehabilitation Hospital of Louisville East, LLC	KY	NIA	University of Louisville	Ownership	51.0	Apollo Global Management, Inc.; Leon Black; Joshua Harris; Marc Rowan.	NO	
00000		00000	26-2332250				Rehabilitation Hospital of Wisconsin, LLC	DE	NIA	CRH of Waukesha, LLC	Ownership	51.0	Apollo Global Management, Inc.; Leon Black; Joshua Harris; Marc Rowan.	NO	
00000		00000	26-2332250				Rehabilitation Hospital of Wisconsin, LLC	DE	NIA	Waukesha Memorial Hospital, Inc.	Ownership	49.0	Apollo Global Management, Inc.; Leon Black; Joshua Harris; Marc Rowan.	NO	
00000		00000	47-4000401				RHN Clark Memorial Hospital, LLC	DE	NIA	The Regional Health Network of Kentucky and Southern Indiana, LLC	Ownership	100.0	Apollo Global Management, Inc.; Leon Black; Joshua Harris; Marc Rowan.	NO	
00000		00000	61-1764853				RHN Clark Memorial Physician Practices, LLC	DE	NIA	RHN Clark Memorial Hospital, LLC	Ownership	100.0	Apollo Global Management, Inc.; Leon Black; Joshua Harris; Marc Rowan.	NO	
00000		00000	46-1113518				RHN Scott Memorial Hospital, LLC	DE	NIA	The Regional Health Network of Kentucky and Southern Indiana, LLC	Ownership	100.0	Apollo Global Management, Inc.; Leon Black; Joshua Harris; Marc Rowan.	NO	
00000		00000	37-1705734				RHN Scott Physician Practices, LLC	DE	NIA	RHN Scott Memorial Hospital, LLC	Ownership	100.0	Apollo Global Management, Inc.; Leon Black; Joshua Harris; Marc Rowan.	NO	
00000		00000	84-4845184				Rhode Island Rehabilitation Hospital, LLC	DE	NIA	KND IRF Development 44, LLC	Ownership	60.0	Apollo Global Management, Inc.; Leon Black; Joshua Harris; Marc Rowan.	NO	
00000		00000	84-4845184				Rhode Island Rehabilitation Hospital, LLC	DE	NIA	Prime Healthcare Services-Landmark, LLC	Ownership	40.0	Apollo Global Management, Inc.; Leon Black; Joshua Harris; Marc Rowan.	NO	

SCHEDULE Y
PART 1A – DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Required? (Yes/No)	*
00000		00000	20-0959379				River Parishes Hospital, LLC	DE	NIA	LifePoint Holdings 2, LLC	Ownership	100.0	Apollo Global Management, Inc.; Leon Black; Joshua Harris; Marc Rowan.	NO	
00000		00000	20-2502853				River Parishes Partner, LLC	DE	NIA	LifePoint Holdings 2, LLC	Ownership	100.0	Apollo Global Management, Inc.; Leon Black; Joshua Harris; Marc Rowan.	NO	
00000		00000	20-1227403				River Parishes Physician Practices, LLC	DE	NIA	LifePoint Holdings 2, LLC	Ownership	100.0	Apollo Global Management, Inc.; Leon Black; Joshua Harris; Marc Rowan.	NO	
00000		00000	26-3839861				Riverton Oncology Practice, LLC	DE	NIA	LifePoint Hospitals Holdings, LLC	Ownership	100.0	Apollo Global Management, Inc.; Leon Black; Joshua Harris; Marc Rowan.	NO	
00000		00000	62-1762469				Riverview Medical Center, LLC	DE	NIA	LifePoint Holdings 2, LLC	Ownership	100.0	Apollo Global Management, Inc.; Leon Black; Joshua Harris; Marc Rowan.	NO	
00000		00000	45-3853399				Riverview Physician Practices, LLC	DE	NIA	LifePoint Holdings 2, LLC	Ownership	100.0	Apollo Global Management, Inc.; Leon Black; Joshua Harris; Marc Rowan.	NO	
00000		00000	45-4282120				Rockdale Clinically Integrated Medical Care Organization, LLC	DE	NIA	LifePoint Holdings 2, LLC	Ownership	100.0	Apollo Global Management, Inc.; Leon Black; Joshua Harris; Marc Rowan.	NO	
00000		00000	26-3202930				Rockdale Hospital, LLC	DE	NIA	LifePoint Holdings 2, LLC	Ownership	100.0	Apollo Global Management, Inc.; Leon Black; Joshua Harris; Marc Rowan.	NO	
00000		00000	27-1363956				Rockdale Physician Practices, LLC	DE	NIA	LifePoint Holdings 2, LLC	Ownership	100.0	Apollo Global Management, Inc.; Leon Black; Joshua Harris; Marc Rowan.	NO	
00000		00000	27-2258662				RRL Ottumwa, LLC	DE	NIA	RCHP-Ottumwa, LLC	Ownership	100.0	Apollo Global Management, Inc.; Leon Black; Joshua Harris; Marc Rowan.	NO	
00000		00000	62-1771866				Russellville Holdings, LLC	DE	NIA	St. Mary's Holdings, LLC	Ownership	100.0	Apollo Global Management, Inc.; Leon Black; Joshua Harris; Marc Rowan.	NO	

SCHEDULE Y
PART 1A – DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Required? (Yes/No)	*
00000		00000	83-1595018				Sacramento Sierra Rehabilitation Hospital, LLC	CA	NIA	Kindred Development 13, L.L.C.	Ownership	51.0	Apollo Global Management, Inc.; Leon Black; Joshua Harris; Marc Rowan.	NO	
00000		00000	83-1595018				Sacramento Sierra Rehabilitation Hospital, LLC	CA	NIA	The Regents of the University of California on behalf of UC Davis Medical Center	Ownership	49.0	Apollo Global Management, Inc.; Leon Black; Joshua Harris; Marc Rowan.	NO	
00000		00000	35-2632651				Saint Mary's Primary Care Network, LLC	DE	NIA	St. Mary's Holdings, LLC	Ownership	100.0	Apollo Global Management, Inc.; Leon Black; Joshua Harris; Marc Rowan.	NO	
00000		00000	81-4303298				Saint Thomas Rehabilitation Hospital, LLC	TN	NIA	LifePoint Rehab, LLC	Ownership	51.0	Apollo Global Management, Inc.; Leon Black; Joshua Harris; Marc Rowan.	NO	
00000		00000	81-4303298				Saint Thomas Rehabilitation Hospital, LLC	TN	NIA	St. Thomas Health	Ownership	49.0	Apollo Global Management, Inc.; Leon Black; Joshua Harris; Marc Rowan.	NO	
00000		00000	81-2831831				Saline Clinics, LLC	DE	NIA	Saline County Medical Center Joint Venture, LLC	Ownership	100.0	Apollo Global Management, Inc.; Leon Black; Joshua Harris; Marc Rowan.	NO	
00000		00000	37-1875655				Saline County Hospital, LLC	DE	NIA	Capella Healthcare, LLC	Ownership	100.0	Apollo Global Management, Inc.; Leon Black; Joshua Harris; Marc Rowan.	NO	
00000		00000	37-1828157				Saline County Medical Center Joint Venture, LLC	DE	NIA	Capella Healthcare, LLC	Ownership	51.0	Apollo Global Management, Inc.; Leon Black; Joshua Harris; Marc Rowan.	NO	
00000		00000	37-1828157				Saline County Medical Center Joint Venture, LLC	DE	NIA	Saline County Medical Center	Ownership	49.0	Apollo Global Management, Inc.; Leon Black; Joshua Harris; Marc Rowan.	NO	
00000		00000	71-0799300				Saline FirstCare, Inc.	AK	NIA	Saline Hospital, LLC	Ownership	50.0	Apollo Global Management, Inc.; Leon Black; Joshua Harris; Marc Rowan.	NO	
00000		00000	71-0799300				Saline FirstCare, Inc.	AK	NIA	Physician Investors	Ownership	50.0	Apollo Global Management, Inc.; Leon Black; Joshua Harris; Marc Rowan.	NO	

SCHEDULE Y
PART 1A – DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
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00000		00000	81-2816675				Saline Hospital, LLC	DE	NIA	Saline County Medical Center Joint Venture, LLC	Ownership	100.0	Apollo Global Management, Inc.; Leon Black; Joshua Harris; Marc Rowan.	NO	
00000		00000	47-4681738				Shared Business Services, LLC	DE	NIA	LifePoint Hospitals Holdings, LLC	Ownership	100.0	Apollo Global Management, Inc.; Leon Black; Joshua Harris; Marc Rowan.	NO	
00000		00000	33-1032693				Sharon Hospital Holding Company	DE	NIA	EHC0, LLC	Ownership	100.0	Apollo Global Management, Inc.; Leon Black; Joshua Harris; Marc Rowan.	NO	
00000		00000	32-0381422				Shoals Health Group, LLC	DE	NIA	Florence Physicians, LLC	Ownership	100.0	Apollo Global Management, Inc.; Leon Black; Joshua Harris; Marc Rowan.	NO	
00000		00000	37-1741217				Shoals Obstetrics and Gynecology, LLC	DE	NIA	Florence Physicians, LLC	Ownership	100.0	Apollo Global Management, Inc.; Leon Black; Joshua Harris; Marc Rowan.	NO	
00000		00000	45-5495321				Sierra Vista Regional Health Center Medical Group, L.L.C	AZ	NIA	RCHP-Sierra Vista Physicians Holding, Inc.	Ownership	100.0	Apollo Global Management, Inc.; Leon Black; Joshua Harris; Marc Rowan.	NO	
00000		00000	62-1762275				Siletnchnik Practice, LLC	DE	NIA	LifePoint of Kentucky, LLC	Ownership	100.0	Apollo Global Management, Inc.; Leon Black; Joshua Harris; Marc Rowan.	NO	
00000		00000	62-1762490				Smith County Memorial Hospital, LLC	DE	NIA	LifePoint Holdings 2, LLC	Ownership	100.0	Apollo Global Management, Inc.; Leon Black; Joshua Harris; Marc Rowan.	NO	
00000		00000	62-1864098				Somerset Surgery Partner, LLC	DE	NIA	LifePoint of Lake Cumberland, LLC	Ownership	100.0	Apollo Global Management, Inc.; Leon Black; Joshua Harris; Marc Rowan.	NO	
00000		00000	87-2766253				South Florida Health Rehabilitation Hospital, LLC	FL	NIA	KND IRF Development 63, LLC	Ownership	51.0	Apollo Global Management, Inc.; Leon Black; Joshua Harris; Marc Rowan.	NO	
00000		00000	87-2766253				South Florida Health Rehabilitation Hospital, LLC	FL	NIA	SFH Rehabilitation Holdings, LLC	Ownership	49.0	Apollo Global Management, Inc.; Leon Black; Joshua Harris; Marc Rowan.	NO	

SCHEDULE Y
PART 1A – DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
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00000		00000	62-1763622				Southern Tennessee EMS, LLC	DE	NIA	LifePoint Holdings 2, LLC	Ownership	100.0	Apollo Global Management, Inc.; Leon Black; Joshua Harris; Marc Rowan.	NO	
00000		00000	62-1762535				Southern Tennessee Medical Center, LLC	DE	NIA	LifePoint Holdings 2, LLC	Ownership	100.0	Apollo Global Management, Inc.; Leon Black; Joshua Harris; Marc Rowan.	NO	
00000		00000	62-1824632				Southern Tennessee PHO, LLC	DE	NIA	LifePoint Holdings 2, LLC	Ownership	100.0	Apollo Global Management, Inc.; Leon Black; Joshua Harris; Marc Rowan.	NO	
00000		00000	20-0155414				Spring View Hospital, LLC	DE	NIA	LifePoint Holdings 2, LLC	Ownership	100.0	Apollo Global Management, Inc.; Leon Black; Joshua Harris; Marc Rowan.	NO	
00000		00000	20-4302480				Spring View Physician Practices, LLC	DE	NIA	LifePoint Holdings 2, LLC	Ownership	100.0	Apollo Global Management, Inc.; Leon Black; Joshua Harris; Marc Rowan.	NO	
00000		00000	62-1754936				Springhill Medical Center, LLC	DE	NIA	LifePoint Holdings 2, LLC	Ownership	100.0	Apollo Global Management, Inc.; Leon Black; Joshua Harris; Marc Rowan.	NO	
00000		00000	20-4269117				SRMC Healthcare Group, LLC	DE	NIA	Essent Healthcare - Pennsylvania, Inc.	Ownership	100.0	Apollo Global Management, Inc.; Leon Black; Joshua Harris; Marc Rowan.	NO	
00000		00000	62-1835614				SST Community Health, L.L.C.	TN	NIA	Sumner Regional Medical Center, LLC	Ownership	100.0	Apollo Global Management, Inc.; Leon Black; Joshua Harris; Marc Rowan.	NO	
00000		00000	27-3938747				St. Mary Rehabilitation Hospital, LLP	DE	NIA	CRH of Langhorne, LLC	Ownership	41.0	Apollo Global Management, Inc.; Leon Black; Joshua Harris; Marc Rowan.	NO	
00000		00000	27-3938747				St. Mary Rehabilitation Hospital, LLP	DE	NIA	St. Mary Medical Center	Ownership	59.0	Apollo Global Management, Inc.; Leon Black; Joshua Harris; Marc Rowan.	NO	
00000		00000	26-4088270				St. Mary's Holdings, LLC	DE	NIA	Capella Healthcare, LLC	Ownership	100.0	Apollo Global Management, Inc.; Leon Black; Joshua Harris; Marc Rowan.	NO	

SCHEDULE Y
PART 1A – DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
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00000		00000	62-1769626				St. Mary's Physician Services, LLC	DE	NIA	St. Mary's Holdings, LLC	Ownership	100.0	Apollo Global Management, Inc.; Leon Black; Joshua Harris; Marc Rowan.	NO	
00000		00000	32-0609817				St. Mary's Specialty, LLC	DE	NIA	St. Mary's Holdings, LLC	Ownership	100.0	Apollo Global Management, Inc.; Leon Black; Joshua Harris; Marc Rowan.	NO	
00000		00000					STeM Holdings Inc.	DE	NIA	STeM Parent L.P.	Ownership	100.0	Apollo Global Management, Inc.; Leon Black; Joshua Harris; Marc Rowan.	NO	
00000		00000					STeM Management, LLC	DE	NIA	STeM Holdings, Inc.	Ownership	100.0	Apollo Global Management, Inc.; Leon Black; Joshua Harris; Marc Rowan.	NO	
00000		00000					STeM Operating, LLC	DE	NIA	STeM Management, LLC	Ownership	100.0	Apollo Global Management, Inc.; Leon Black; Joshua Harris; Marc Rowan.	NO	
00000		00000					STeM Parent L.P.	DE	NIA	Forward Health Ventures (B) LLC	Ownership	100.0	Apollo Global Management, Inc.; Leon Black; Joshua Harris; Marc Rowan.	NO	
00000		00000	84-5159736				Sumner Ambulatory Surgery Center, LLC	DE	NIA	Sumner Partner, LLC	Ownership	100.0	Apollo Global Management, Inc.; Leon Black; Joshua Harris; Marc Rowan.	NO	
00000		00000	84-5127938				Sumner Partner, LLC	DE	NIA	LifePoint Holdings 2, LLC	Ownership	100.0	Apollo Global Management, Inc.; Leon Black; Joshua Harris; Marc Rowan.	NO	
00000		00000	27-2618964				Sumner Physician Practices, LLC	DE	NIA	LifePoint Holdings 2, LLC	Ownership	100.0	Apollo Global Management, Inc.; Leon Black; Joshua Harris; Marc Rowan.	NO	
00000		00000	27-2618993				Sumner Real Estate Holdings, LLC	DE	NIA	LifePoint Holdings 2, LLC	Ownership	100.0	Apollo Global Management, Inc.; Leon Black; Joshua Harris; Marc Rowan.	NO	
00000		00000	27-2618766				Sumner Regional Medical Center, LLC	DE	NIA	LifePoint Holdings 2, LLC	Ownership	100.0	Apollo Global Management, Inc.; Leon Black; Joshua Harris; Marc Rowan.	NO	

SCHEDULE Y
PART 1A – DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
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00000		00000					Tampa Behavioral Hospital, LLC	FL	NIA	Florida Health Sciences Center, Inc	Ownership	60.0	Apollo Global Management, Inc.; Leon Black; Joshua Harris; Marc Rowan	NO	
00000		00000					Tampa Behavioral Hospital, LLC	FL	NIA	LPNT BH Development 3, LLC	Ownership	40.0	Apollo Global Management, Inc.; Leon Black; Joshua Harris; Marc Rowan	NO	
00000		00000	84-4952844				Tampa Rehabilitation Hospital, LLC	FL	NIA	Florida Health Sciences Center, Inc	Ownership	51.0	Apollo Global Management, Inc.; Leon Black; Joshua Harris; Marc Rowan	NO	
00000		00000	84-4952844				Tampa Rehabilitation Hospital, LLC	FL	NIA	KND IRF Development 50, LLC	Ownership	49.0	Apollo Global Management, Inc.; Leon Black; Joshua Harris; Marc Rowan	NO	
00000		00000	47-4583254				Teche Regional Physician Practices, LLC	DE	NIA	Province Healthcare Company, LLC	Ownership	100.0	Apollo Global Management, Inc.; Leon Black; Joshua Harris; Marc Rowan	NO	
00000		00000	75-2623970				Texas & Oklahoma Preferred Provider System	TX	NIA	Essent PRMC, L.P	Ownership	100.0	Apollo Global Management, Inc.; Leon Black; Joshua Harris; Marc Rowan	NO	
00000		00000	37-1748838				Texas Rehabilitation Hospital of Arlington, LLC	TX	NIA	CRH of Arlington, LLC	Ownership	51.0	Apollo Global Management, Inc.; Leon Black; Joshua Harris; Marc Rowan	NO	
00000		00000	37-1748838				Texas Rehabilitation Hospital of Arlington, LLC	TX	NIA	North Texas Health Facilities Management, Inc	Ownership	19.0	Apollo Global Management, Inc.; Leon Black; Joshua Harris; Marc Rowan	NO	
00000		00000	37-1748838				Texas Rehabilitation Hospital of Arlington, LLC	TX	NIA	Texas Health Resources	Ownership	30.0	Apollo Global Management, Inc.; Leon Black; Joshua Harris; Marc Rowan	NO	
00000		00000	27-0863232				Texas Rehabilitation Hospital of Fort Worth, LLC	TX	NIA	CRH of Fort Worth, LLC	Ownership	70.0	Apollo Global Management, Inc.; Leon Black; Joshua Harris; Marc Rowan	NO	
00000		00000	27-0863232				Texas Rehabilitation Hospital of Fort Worth, LLC	TX	NIA	Texas Health Harris Methodist Fort Worth	Ownership	30.0	Apollo Global Management, Inc.; Leon Black; Joshua Harris; Marc Rowan	NO	

SCHEDULE Y
PART 1A – DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Required? (Yes/No)	*
00000		00000	80-0835692				The Regional Health Network of Kentucky and Southern Indiana, LLC	DE	NIA	Norton Partner, LLC	Ownership	75.0	Apollo Global Management, Inc.; Leon Black; Joshua Harris; Marc Rowan.	NO	
00000		00000	80-0835692				The Regional Health Network of Kentucky and Southern Indiana, LLC	DE	NIA	Norton Enterprises, Inc	Ownership	25.0	Apollo Global Management, Inc.; Leon Black; Joshua Harris; Marc Rowan.	NO	
00000		00000	82-3939985				The Rehabilitation Hospital of Montana, LLC	MT	NIA	KND Development 69, LLC	Ownership	33.3	Apollo Global Management, Inc.; Leon Black; Joshua Harris; Marc Rowan.	NO	
00000		00000	82-3939985				The Rehabilitation Hospital of Montana, LLC	MT	NIA	Billings Clinic	Ownership	33.3	Apollo Global Management, Inc.; Leon Black; Joshua Harris; Marc Rowan.	NO	
00000		00000	82-3939985				The Rehabilitation Hospital of Montana, LLC	MT	NIA	SCL Health Partners, LLC	Ownership	33.3	Apollo Global Management, Inc.; Leon Black; Joshua Harris; Marc Rowan.	NO	
00000		00000	62-1732653				TherEx, LLC	DE	NIA	Kindred Rehab Development 2, LLC	Ownership	100.0	Apollo Global Management, Inc.; Leon Black; Joshua Harris; Marc Rowan.	NO	
00000		00000	62-1762591				THM Physician Practice, LLC	DE	NIA	LifePoint Holdings 2, LLC	Ownership	100.0	Apollo Global Management, Inc.; Leon Black; Joshua Harris; Marc Rowan.	NO	
00000		00000	45-2956602				Triumph Rehabilitation Hospital of Northeast Houston, LLC	DE	NIA	LifePoint Rehab, LLC	Ownership	100.0	Apollo Global Management, Inc.; Leon Black; Joshua Harris; Marc Rowan.	NO	
00000		00000	27-4061273				Triumph Rehabilitation Hospital of Northern Indiana, LLC	IN	NIA	LifePoint Rehab, LLC	Ownership	100.0	Apollo Global Management, Inc.; Leon Black; Joshua Harris; Marc Rowan.	NO	
00000		00000	27-2618876				Trousdale Medical Center, LLC	DE	NIA	LifePoint Holdings 2, LLC	Ownership	100.0	Apollo Global Management, Inc.; Leon Black; Joshua Harris; Marc Rowan.	NO	
00000		00000	45-3853454				Trousdale Physician Practices, LLC	DE	NIA	LifePoint Holdings 2, LLC	Ownership	100.0	Apollo Global Management, Inc.; Leon Black; Joshua Harris; Marc Rowan.	NO	

STATEMENT AS OF SEPTEMBER 30, 2022 OF THE Upper Peninsula Health Plan, LLC

SCHEDULE Y

PART 1A – DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

[illegible]

SCHEDULE Y
PART 1A – DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

Asterisk	Explanation
0000010	1. Upper Peninsula Managed Care, LLC has a contractual relationship only with the insurer.....

SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of **NO** to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason enter **SEE EXPLANATION** and provide an explanation following the interrogatory questions.

Response
.....NO.....

1. Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC with this statement?

AUGUST FILING

2. Will the regulator-only (non-public) Communication of Internal Control Related Matters Noted in Audit be filed with the state of domicile and electronically with the NAIC (as a regulator-only non-public document) by August 1? The response for 1st and 3rd quarters should be N/A. A NO response resulting with a bar code is only appropriate in the 2nd quarter.

.....N/A.....

Explanation:

Bar Code:

1. 
5 2 6 1 5 2 0 2 2 3 6 5 0 0 0 0 3

OVERFLOW PAGE FOR WRITE-INS

MQ002 Additional Aggregate Lines for Page 02 Line 25.
*ASSETS

	1	2	3	4
	Assets	Nonadmitted Assets	Net Admitted Assets (Cols. 1 - 2)	December 31 Prior Year Net Admitted Assets
2504. Tiff Revenue Receivable.....	100,000		100,000	
2505. Other Receivables.....	8,784		8,784	
2597. Summary of remaining write-ins for Line 25 from Page 02	108,784	0	108,784	0

SCHEDULE A – VERIFICATION

Real Estate

	1	2
	Year To Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year	10,601,751	10,944,134
2. Cost of acquired:		
2.1 Actual cost at time of acquisition		0
2.2 Additional investment made after acquisition		0
3. Current year change in encumbrances		0
4. Total gain (loss) on disposals		0
5. Deduct amounts received on disposals		0
6. Total foreign exchange change in book/adjusted carrying value		0
7. Deduct current year's other-than-temporary impairment recognized		0
8. Deduct current year's depreciation	183,023	342,383
9. Book/adjusted carrying value at the end of current period (Lines 1+2+3+4-5+6-7-8)	10,418,728	10,601,751
10. Deduct total nonadmitted amounts	0	0
11. Statement value at end of current period (Line 9 minus Line 10)	10,418,728	10,601,751

SCHEDULE B – VERIFICATION

Mortgage Loans

	1	2
	Year To Date	Prior Year Ended December 31
1. Book value/recorded investment excluding accrued interest, December 31 of prior year	0	0
2. Cost of acquired:		
2.1 Actual cost at time of acquisition		0
2.2 Additional investment made after acquisition		0
3. Capitalized deferred interest and other		0
4. Accrual of discount		0
5. Unrealized valuation increase (decrease)		0
6. Total gain (loss) on disposals		0
7. Deduct amounts received on disposals		0
8. Deduct amortization of premium and mortgage interest points and commitment fees		0
9. Total foreign exchange change in book value/recorded investment excluding accrued interest		0
10. Deduct current year's other-than-temporary impairment recognized		0
11. Book value/recorded investment excluding accrued interest at end of current period (Lines 1+2+3+4+5+6-7-8+9-10)	0	0
12. Total valuation allowance		0
13. Subtotal (Line 11 plus Line 12)	0	0
14. Deduct total nonadmitted amounts	0	0
15. Statement value at end of current period (Line 13 minus Line 14)	0	0

NONE

SCHEDULE BA – VERIFICATION

Other Long-Term Invested Assets

	1	2
	Year To Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year	0	0
2. Cost of acquired:		
2.1 Actual cost at time of acquisition		0
2.2 Additional investment made after acquisition		0
3. Capitalized deferred interest and other		0
4. Accrual of discount		0
5. Unrealized valuation increase (decrease)		0
6. Total gain (loss) on disposals		0
7. Deduct amounts received on disposals		0
8. Deduct amortization of premium and depreciation		0
9. Total foreign exchange change in book/adjusted carrying value		0
10. Deduct current year's other-than-temporary impairment recognized		0
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7-8+9-10)	0	0
12. Deduct total nonadmitted amounts	0	0
13. Statement value at end of current period (Line 11 minus Line 12)	0	0

NONE

SCHEDULE D – VERIFICATION

Bonds and Stocks

	1	2
	Year To Date	Prior Year Ended December 31
1. Book/adjusted carrying value of bonds and stocks, December 31 of prior year	21,015,610	17,063,118
2. Cost of bonds and stocks acquired	33,163,726	15,000,130
3. Accrual of discount	45,017	4,222
4. Unrealized valuation increase (decrease)	0	0
5. Total gain (loss) on disposals	175	611
6. Deduct consideration for bonds and stocks disposed of	13,814,462	10,818,000
7. Deduct amortization of premium	125,686	234,471
8. Total foreign exchange change in book/adjusted carrying value	0	0
9. Deduct current year's other-than-temporary impairment recognized	0	0
10. Total investment income recognized as a result of prepayment penalties and/or acceleration fees	25,000	0
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9+10)	40,309,380	21,015,610
12. Deduct total nonadmitted amounts	0	0
13. Statement value at end of current period (Line 11 minus Line 12)	40,309,380	21,015,610

STATEMENT AS OF SEPTEMBER 30, 2022 OF THE Upper Peninsula Health Plan, LLC

SCHEDULE D - PART 1B

Showing the Acquisitions, Dispositions and Non-Trading Activity
During the Current Quarter for all Bonds and Preferred Stock by NAIC Designation

NAIC Designation	1 Book/Adjusted Carrying Value Beginning of Current Quarter	2 Acquisitions During Current Quarter	3 Dispositions During Current Quarter	4 Non-Trading Activity During Current Quarter	5 Book/Adjusted Carrying Value End of First Quarter	6 Book/Adjusted Carrying Value End of Second Quarter	7 Book/Adjusted Carrying Value End of Third Quarter	8 Book/Adjusted Carrying Value December 31 Prior Year
BONDS								
1. NAIC 1 (a).....	38,633,729	8,338,171	4,142,460	(259,270)	21,103,934	38,633,729	42,570,170	30,677,669
2. NAIC 2 (a).....	4,244,473	8,171,215	8,000,000	316,766	249,000	4,244,473	4,732,454	249,000
3. NAIC 3 (a).....	0				0	0	0	0
4. NAIC 4 (a).....	0				0	0	0	0
5. NAIC 5 (a).....	0				0	0	0	0
6. NAIC 6 (a).....	0				0	0	0	0
7. Total Bonds	42,878,202	16,509,386	12,142,460	57,496	21,352,934	42,878,202	47,302,624	30,926,669
PREFERRED STOCK								
8. NAIC 1	0				0	0	0	0
9. NAIC 2	0				0	0	0	0
10. NAIC 3	0				0	0	0	0
11. NAIC 4	0				0	0	0	0
12. NAIC 5	0				0	0	0	0
13. NAIC 6	0				0	0	0	0
14. Total Preferred Stock.....	0	0	0	0	0	0	0	0
15. Total Bonds & Preferred Stock	42,878,202	16,509,386	12,142,460	57,496	21,352,934	42,878,202	47,302,624	30,926,669

(a) Book/Adjusted Carrying Value column for the end of the current reporting period includes the following amount of short-term and cash equivalent bonds by NAIC designation: NAIC 1 \$4,009,789 ; NAIC 2 \$2,983,455 ;
NAIC 3 \$; NAIC 4 \$; NAIC 5 \$; NAIC 6 \$

SCHEDULE DA - PART 1
Short-Term Investments

	1	2	3	4	5
	Book/Adjusted Carrying Value	Par Value	Actual Cost	Interest Collected Year To Date	Paid for Accrued Interest Year To Date
770999999 Totals	6,993,244	XXX	7,011,969	37,000	4,100

SCHEDULE DA - VERIFICATION
Short-Term Investments

	1	2
	Year To Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year.....	9,911,060	5,126,046
2. Cost of short-term investments acquired	13,000,544	17,045,974
3. Accrual of discount	27,491	.0
4. Unrealized valuation increase (decrease).....	.0	.0
5. Total gain (loss) on disposals0	.0
6. Deduct consideration received on disposals	15,854,000	11,954,000
7. Deduct amortization of premium.....	91,851	306,960
8. Total foreign exchange change in book/adjusted carrying value.....	.0	.0
9. Deduct current year's other-than-temporary impairment recognized.....	.0	.0
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9).....	6,993,244	9,911,060
11. Deduct total nonadmitted amounts.....		.0
12. Statement value at end of current period (Line 10 minus Line 11)	6,993,244	9,911,060

Schedule DB - Part A - Verification

NONE

Schedule DB - Part B - Verification

NONE

Schedule DB - Part C - Section 1

NONE

Schedule DB - Part C - Section 2

NONE

Schedule DB - Verification

NONE

SCHEDULE E – PART 2 – VERIFICATION
(Cash Equivalents)

	1 Year To Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year.....	26,902,717	64,395,812
2. Cost of cash equivalents acquired	88,999,853	31,956,900
3. Accrual of discount	47,767	0
4. Unrealized valuation increase (decrease)	0	0
5. Total gain (loss) on disposals.....	21,175	4,332
6. Deduct consideration received on disposals	99,105,046	69,454,327
7. Deduct amortization of premium	0	0
8. Total foreign exchange change in book/adjusted carrying value	0	0
9. Deduct current year's other-than-temporary impairment recognized	0	0
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9)	16,866,466	26,902,717
11. Deduct total nonadmitted amounts		0
12. Statement value at end of current period (Line 10 minus Line 11)	16,866,466	26,902,717

Schedule A - Part 2

NONE

Schedule A - Part 3

NONE

Schedule B - Part 2

NONE

Schedule B - Part 3

NONE

Schedule BA - Part 2

NONE

Schedule BA - Part 3

NONE

E04

[illegible]

STATEMENT AS OF SEPTEMBER 30, 2022 OF THE Upper Peninsula Health Plan, LLC

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

[illegible]

Schedule DB - Part A - Section 1

NONE

Schedule DB - Part B - Section 1

NONE

Schedule DB - Part D - Section 1

NONE

Schedule DB - Part D - Section 2

NONE

Schedule DB - Part E

NONE

Schedule DL - Part 1

NONE

Schedule DL - Part 2

NONE

STATEMENT AS OF SEPTEMBER 30, 2022 OF THE Upper Peninsula Health Plan, LLC

SCHEDULE E - PART 1 - CASH

[illegible]

SCHEDULE E - PART 2 - CASH EQUIVALENTS

[illegible]